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State of Rhode Island Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR **2024**: 2024

1. Corporate ID No. <u>001663297</u>

2. Name of Corporation Voya Financial Advisors, Inc.

3. Street Address Principal Business Office:

No. and Street: ONE ORANGE WAY

City or Town: WINDSOR State: CT Zip: 06095 Country: USA

4. Business Phone No.

866-723-4646

5. State of Incorporation

State: MN

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	

PRESIDENT	ADNRE ROBINSON	ONE ORANGE WAY WINDSOR, CT 06095 USA	
SECRETARY	MELISSA O'DONNELL	250 MARQUETTE AVE., SUITE 900 MINNEAPOLIS, MN 55401 USA	
CFO	KRISTIN HULTGREN	ONE ORANGE WAY WINDSOR, CT 06095 USA	
VICE PRESIDENT	SCOTT W. STEVENS	30 BRAINTREE HILL OFFICE PARK BRAINTREE, MA 02184 USA	
VICE PRESIDENT	JONATHAN REILLY	7545 IRVINE CENTER DRIVE, SUITE 200 IRVINE, CA 92618 USA	
VICE PRESIDENT AND ASSISTANT TREASURER	NICCOLE PECK	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA	
VICE PRESIDENT	FELIX SILVERIO	ONE ORANGE WAY WINDSOR, CT 06095 USA	
ASSISTANT CHIEF FINANCIAL OFFICER	FREDERICK C. BOHN	ONE ORANGE WAY WINDSOR, CT 06095 USA	
SENIOR VICE PRESIDENT AND CHIEF RISK OFFICER	FRANCIS O'NEILL	ONE ORANGE WAY WINDSOR, CT 06095 USA	
TAX OFFICER	MATTHEW LEHMANN	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA	
VICE PRESIDENT	RONALD E. FALKNER	ONE ORANGE WAY WINDSOR, CT 06095 USA	
VICE PRESIDENT, CHIEF COUNSEL	MARK SIDES	ONE ORANGE WAY WINDSOR, CT 06095 USA	
VICE PRESIDENT, CORPORATE TAX	ANDREW KALLENBERG	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA	
ASSISTANT SECRETARY	TINA SCHULTZ	250 MARQUETTE AVE., SUITE 900 MINNEAPOLIS, MN 55401 USA	
COO	SHANNON HOGENDORN	ONE ORANGE WAY WINDSOR, CT 06095 USA	
SENIOR VICE PRESIDENT AND TREASURER	MICHELLE LUK	230 PARK AVENUE NEW YORK, NY 10169 USA	
TAX OFFICER	PRESTON PORTERFIELD	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA	
VICE PRESIDENT AND CHIEF COMPLIANCE OFFICER	STEVEN PAASCH	ONE ORANGE WAY WINDSOR, CT 06095 USA	
DIRECTOR	JONATHAN REILLY	7545 IRVINE CENTER DRIVE, SUITE 200 IRVINE, CA 92618 USA	
CISO	MARINO MONTI JR.	ONE ORANGE WAY WINDSOR, CT 06095 USA	
TAX OFFICER	DEVAN BUTLER	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA	
VICE PRESIDENT, FINANCIAL PLANNING AND ANALYSIS	MEREDITH KELLEY	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA	
DIRECTOR	SHANNON HOGENDORN	ONE ORANGE WAY WINDSOR, CT 06095 USA	
DIRECTOR	ANDRE ROBINSON	ONE ORANGE WAY WINDSOR, CT 06095 USA	

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares	Num of
			Number of Shares	Shares
CWP		\$10.0000	5,000.00	1500

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 26 Day of February, 2024 at 2:48:09 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By TINA SCHULTZ

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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