



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024: 2024

1. Corporate ID No. 000102781

2. Name of Corporation Citigroup Global Markets Inc.

3. Street Address Principal Business Office:

No. and Street: 388 GREENWICH STREET

City or Town: NEW YORK

State: NY

Zip: 10013

Country: USA

4. Business Phone No.

5. State of Incorporation

State: NY

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523110

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKERAGE FIRM

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
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PRESIDENT	JOHN HEPPOLETTE	388 GREENWICH STREET NEW YORK, NY 10013 USA
TREASURER	JASON MERCADO	388 GREENWICH STREET NEW YORK, NY 10013 USA
SECRETARY	ROBERT KLEIN	388 GREENWICH STREET NEW YORK, NY 10013 USA
CEO	JOHN HEPPOLETTE	388 GREENWICH STREET NEW YORK, NY 10013 USA
CFO	DANIEL PALOMAKI	388 GREENWICH STREET NEW YORK, NY 10013 USA
DIRECTOR	STEPHANIE DHOUBI	388 GREENWICH STREET NEW YORK, NY 10013 USA
ASSISTANT TAX OFFICER	JULIE SCHMIDT	3800 CITIGROUP CENTER DRIVE TAMPA, FL 33610 USA
DIRECTOR	TIMOTHY GATELY	388 GREENWICH STREET NEW YORK, NY 10013 USA
DIRECTOR	JOHN HEPPOLETTE	388 GREENWICH STREET NEW YORK, NY 10013 USA
DIRECTOR	VALENTIN VALDERRABANO	388 GREENWICH STREET NEW YORK, NY 10013 USA
DIRECTOR	JOHN CHIRICO	388 GREENWICH STREET TAMPA, FL 10013 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$10,000.0000	1,000.00	1000
PWP		\$10,000.0000	100.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 28 Day of March, 2024 at 12:32:08 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JULIE SCHMIDT

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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