

# State of Rhode Island Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

# Foreign Business Corporation Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR **2024**: 2024

1. Corporate ID No. 000799898

2. Name of Corporation Raymond James Financial Services Advisors, Inc.

3. Street Address Principal Business Office:

No. and Street: 880 CARILLON PARKWAY

City or Town: ST. PETERSBURG State: FL Zip: 33716 Country: USA

4. Business Phone No.

8778881245

5. State of Incorporation

State: FL

#### **NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

523930

6. Brief Description of the Character of Business Conducted in Rhode Island

### RETAIL AND INSTITUTIONAL INVESTMENT ADVISOR

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country

PRESIDENT	OCCTT A CUIDTIO		
PRESIDENT	SCOTT A. CURTIS	880 CARILLON PARKWAY ST. PETERSBURG , FL 33716 USA	
PRESIDENT	SHANNON B. REID	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
TREASURER	PAUL M. SHOUKRY	880 CARILLON PARKWAY ST. PETERSBURG , FL 33716 USA	
SECRETARY	BROCK A. GUICE	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
AML, ABAC AND OFAC SANCTIONS OFFICER	KATHLEEN LENTZ HUMPHREY	880 CARILLON PARKWAY, ST. PETERSBURG, FL 33716 USA	
SENIOR VICE PRESIDENT	SCOTT ZEBRA	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
ENTITY MANAGER	AARON S. FURNISS	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
CHIEF OPERATING OFFICER	KIM JENSON	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
SENIOR VICE PRESIDENT, HEAD OF FID	STEPHEN E. KRUCHTEN	880 CARILLON PARKWAY, ST. PETERSBURG, FL 33716 USA	
REGULATORY CONTACT	STEPHEN HURD	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
ASSISTANT SECRETARY	JONATHAN J. DOYLE	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
CHIEF LEGAL OFFICER	JONATHAN N. SANTELLI	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
AUTHORIZED ASSOCIATE	SCOTT A. CURTIS	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
CHIEF COMPLIANCE OFFICER	CHRISTOPHER S. THURSTON	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
DIRECTOR	STEPHEN E. KRUCHTEN	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
DIRECTOR	SHANNON B. REID	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
DIRECTOR	KEITH GREG RUST	880 CARILLON PARKWAY, ST. PETERSBURG, FL 33716 USA	
DIRECTOR	ERIK FRULAND	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
DIRECTOR	SCOTT A. CURTIS	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
DIRECTOR	TAREK HELAL	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	
DIRECTOR	TASHTEGO S. ELWYN	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA	

## 8. Shares Authorized and Issued

			Total Authorized	Total Issued
Class of Stock	Series of Stock	Par Value Per	Shares	and

	Share	Number of Shares	Outstanding  Num of  Shares
		Number of Shares	Shares
CWP	\$1.0000	1,000.00	100

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 10 Day of April, 2024 at 8:44:41 AM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

## By STACEY BUSCH

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

© 2007 - 2024 State of Rhode Island All Rights Reserved