



**State of Rhode Island  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: February 1 - May 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024:** 2024

**1. Corporate ID No.** 000042986

**2. Name of Corporation** Thrivent Investment Management Inc.

**3. Street Address Principal Business Office:**

No. and Street: 600 PORTLAND AVE. S.

City or Town: MINNEAPOLIS

State: MN

Zip: 55415

Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: DE

**NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

**6. Brief Description of the Character of Business Conducted in Rhode Island**

BROKER DEALER

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
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PRESIDENT	DAVID (DAVE) J. KLOSTER	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
TREASURER	KURT S. TURESON	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
SECRETARY	TONIA NICOLE (NICOLE) JAM	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
ASSISTANT SECRETARY	CYNTHIA J. NIGBUR	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
CHIEF LEGAL OFFICER	TONIA NICOLE (NICOLE) JAM	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
CHIEF INFORMATION SECURITY OFFICER	MARY E. FAULKNER	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
CHIEF COMPLIANCE OFFICER	ANDREA C. GOLIS	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
VICE PRESIDENT, SUPERVISION	CHRISTOPHER J. OSBORNE	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
VICE PRESIDENT	THOMAS (TOM) J. BIRR	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
ANTI-MONEY LAUNDERING OFFICER	SHARON K. MINTA	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
PRIVACY OFFICER	KATHLEEN (KATIE) M. KOELL	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
ASSISTANT SECRETARY	JESSICA E ENGLISH	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
DIRECTOR	DAVID (DAVE) J. KLOSTER	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
DIRECTOR	NICHOLAS CECERE	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
DIRECTOR	PAUL R JOHNSTON	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
DIRECTOR	STEPHEN C. KENNEDY	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA
DIRECTOR	CALEB A. BOUSU	600 PORTLAND AVE. S. MINNEAPOLIS, MN 55415 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	1,000.00	98

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 10 Day of April, 2024 at 10:07:47 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By COTY BACON

Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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