



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024: 2024

1. Corporate ID No. 000539787

2. Name of Corporation Columbia Management Investment Services Corp.

3. Street Address Principal Business Office:

No. and Street: 290 CONGRESS STREET

City or Town: BOSTON

State: MA

Zip: 02210

Country: USA

4. Business Phone No.

5. State of Incorporation

State: MN

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523999

6. Brief Description of the Character of Business Conducted in Rhode Island

A TRANSFER AGENT THAT PROCESSES CLIENT TRANSACTIONS FOR COLUMBIA MANAGEMENT

FUNDS AND AMERIPRISE FACE-AMOUNT CERTIFICATES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	SHWETA JHANJI	290 CONGRESS STREET BOSTON, MA 02210 USA
SECRETARY	WENDY B. MAHLING	290 CONGRESS STREET BOSTON, MA 02210 USA
CFO	BRIAN M. ENGELKING	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT TREASURER	LAURIE BUSSMANN	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	MARK D. KAPLAN	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	RYAN C LARRENAGA	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT TREASURER	MICHAEL J. PELZEL	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	PATRICK GANNON	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT TREASURER	DAVID MALVASO	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	AMY HACKBARTH	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	BRYAN N. SUCHY	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	MEGAN GARCY	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	JOSEPH D'ALESSANDRO	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	ANDREA S. BJERGO	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	TARA M. STOFFEL	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	KAYLA SYLVIA	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	CHRISTOPHER O. PETERSEN	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	NANCY W. LEDONNE	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT TREASURER	DEBRA R. DAHL	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT TREASURER	BRUCE F. SHANKEY	290 CONGRESS STREET, BOSTON, MA 02210 USA
ASSISTANT TREASURER	LANI J. CANNIFF	290 CONGRESS STREET, BOSTON, MA 02210 USA
ASSISTANT TREASURER	LINDA L. BENSON	290 CONGRESS STREET BOSTON, MA 02210 USA

ANTI-MONEY LAUNDERING OFFICER AND IDENTITY THEFT PREVENTION	MICHAEL TEMPESTA	290 CONGRESS STREET BOSTON, MA 02210 USA
CHIEF COMPLIANCE OFFICER	DANA DEMETS-MEADER	290 CONGRESS STREET BOSTON, MA 02210 USA
CHIEF COUNSEL	MICHAEL DEFAO	290 CONGRESS STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	MARK SKOGSTAD	290 CONGRESS STREET, BOSTON, MA 02210 USA
VICE PRESIDENT	CHRISTIE WILEY	290 CONGRESS STREET BOSTON, MA 02210 USA
VICE PRESIDENT	VERONICA SEAMAN	290 CONGRESS STREET BOSTON, MA 02210 USA
PRESIDENT	GERALYNN KEPHART-STRONG	290 CONGRESS STREET BOSTON, MA 02210 USA
DIRECTOR	CHRISTIE WILEY	290 CONGRESS STREET BOSTON, MA 02210 USA
DIRECTOR	VERONICA SEAMAN	290 CONGRESS STREET BOSTON, MA 02210 USA
DIRECTOR	GERALYNN KEPHART-STRONG	290 CONGRESS STREET BOSTON, MA 02210 USA
DIRECTOR	BRIAN M. ENGELKING	290 CONGRESS STREET BOSTON, MA 02210 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	100.00	1

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 10 Day of April, 2024 at 2:14:43 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KELLY LETTMANN

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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