



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024: 2024

1. Corporate ID No. 000797258

2. Name of Corporation Transamerica Investors Securities Corporation

3. Street Address Principal Business Office:

No. and Street: 440 MAMARONECK AVENUE

City or Town: HARRISON

State: NY Zip: 10528 Country: USA

4. Business Phone No.

9146273000

5. State of Incorporation

State: DE

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

THE PURPOSE OF THE CORPORATION IS TO ENGAGE IN THE BROKER-DEALER BUSINESS

OR ANY LAWFUL ACT OR ACTIVITY FOR WHICH CORPORATIONS MAY BE ORGANIZED TO

DO BUSINESS UNDER THE LAWS OF ITS JURISDICTION OF INCORPORATION.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JOSEPH P. CARUSONE	440 MAMARONECK AVENUE HARRISON, NY 10528 USA
TREASURER	JOSEPH P. CARUSONE	440 MAMARONECK AVENUE HARRISON, NY 10528 USA
SECRETARY	DANIEL GOODMAN	1201 WILLS STREET, STE 800 BALTIMORE, MD 21231 USA
OTHER OFFICER	ENNA (CALVI) VETRANO	6400 C ST SW AUTHORIZED PERSON, 80120 UNI
DIRECTOR	JOSEPH P. CARUSONE	440 MAMARONECK AVENUE HARRISON, NY 10528 USA
DIRECTOR	ENNA VETRANO	440 MAMARONECK AVE HARRISON, NY 10528 USA
DIRECTOR	JAY HEWITT	408 SAINT PETER STREET ST. PAUL, MN 55102 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 10 Day of April, 2024 at 4:50:48 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DANIEL GOODMAN

Signature of Authorized Representative of the Corporation

© 2007 - 2024 State of Rhode Island
All Rights Reserved