



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024: 2024

1. Corporate ID No. 000022917

2. Name of Corporation Ameriprise Certificate Company

3. Street Address Principal Business Office:

No. and Street: 707 2ND AVE SO, COUNTY: HENNEPIN

City or Town: MINNEAPOLIS

State: MN Zip: 55474 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

611310

6. Brief Description of the Character of Business Conducted in Rhode Island

REGISTERED INVESTMENT COMPANY UNDER THE INVESTMENT COMPANY ACT OF 1940;
ISSUER OF A VARIETY OF FACE-AMOUNT CERTIFICATES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	ARIF M. ABU	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
TREASURER	SHWETA JHANJI	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
SECRETARY	MEGAN GARCY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
CFO	JASON S BARTYLLA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	RONALD I HAIM	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	CHRISTOPHER O PETERSEN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT VICE PRESIDENT	KRISTEN A COWARD	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	MICHAEL J PELZEL	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	DAVID MALVASO	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	ASH STOESZ	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT -- PRODUCTS	SARA ALTHOFF	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
CONTROLLER AND CHIEF ACCOUNTING OFFICER	BRIAN GRANGER	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT VICE PRESIDENT	JOHN T DITTRICH	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	LISA M NEPLL	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT - INVESTMENTS	THOMAS WILLIAM MURPHY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	CYNTHIA S WELTER	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT VICE PRESIDENT	JERRY R HOWARD	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	RICHARD NORMAN BUSH	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	LANI T CANNIFF	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	LANI J CANNIFF	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	LINDA L BENSON	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
GENERAL COUNSEL	MEGAN GARCY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA

ASSISTANT TREASURER	HOLLY A AINSLIE	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT	MEGAN GARCY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT	JASON S BARTYLLA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT	BRIAN GRANGER	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	DEBRA R DAHL	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT VICE PRESIDENT	DONNA D EMMETT	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT VICE PRESIDENT	TRACI D GARCIA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT VICE PRESIDENT	ERIC S JOHNSON II	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
CHIEF COMPLIANCE OFFICER	THOMAS P MCGUIRE	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	KAREN MARIE BOHN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	ROBERT J. MCREAVY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	ARIF M. ABU	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	RONALD L. GUZIOR	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	KAREN MARIE BOHN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$10.0000	150,000.00	150000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 11 Day of April, 2024 at 10:21:57 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KELLY LETTMANN

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

© 2007 - 2024 State of Rhode Island
All Rights Reserved