



**State of Rhode Island  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: February 1 - May 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024:** 2024

**1. Corporate ID No.** 000088257

**2. Name of Corporation** LPL Insurance Associates, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 4707 EXECUTIVE DRIVE

City or Town: SAN DIEGO

State: CA

Zip: 92121

Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: DE

**NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

**6. Brief Description of the Character of Business Conducted in Rhode Island**

INSURANCE AGENCY AND/OR BROKER

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
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PRESIDENT	TIM DONA	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
TREASURER	CHRISTOPHER M. MITCHELL	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
SECRETARY	GREGORY M. WOODS	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
ASSISTANT SECRETARY	ROBERT HATFIELD III	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
VICE PRESIDENT, INSURANCE OPERATIONS	RACHEL SACHS	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
VICE PRESIDENT, INSURANCE DISTRIBUTION	CATHY BORGMAN	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
COMPLIANCE OFFICER	MARK SEFFINGER	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
ASSISTANT TREASURER	PAUL RYAN	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
VICE PRESIDENT	VACANT VACANT	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
TAX OFFICER	NICHOLAS STOKES	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
DIRECTOR	TIM DONA	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
DIRECTOR	ROBERT HATFIELD III	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
DIRECTOR	ANERI JAMBUSARIA	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
DIRECTOR	ALISA MAUTA	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
DIRECTOR	ROBERT PETTMAN	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
DIRECTOR	MATTHEW ENYEDI	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	1,000.00	0

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 11 Day of April, 2024 at 4:18:54 PM. *This electronic signature of the individual or***

*individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By VAIO GOULIONIS  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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