



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024: 2024

1. Corporate ID No. 000119870

2. Name of Corporation ULLICO Investment Advisors, Inc.

3. Street Address Principal Business Office:

No. and Street: 8403 COLESVILLE RD,

City or Town: SILVER SPRING State: MD Zip: 20910 Country: USA

4. Business Phone No.

5. State of Incorporation

State: MD

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523920

6. Brief Description of the Character of Business Conducted in Rhode Island

SEC REGISTERED MONEY MANAGER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
-------	--	--

PRESIDENT	KENNETH DUNLOP SCOTT	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
PRESIDENT	JOSEPH R. LINEHAN	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
VICE PRESIDENT	MICHAEL PISTNER	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
VICE PRESIDENT	JONATHAN L MCKETNEY	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
REGIONAL VICE PRESIDENT	WILLIAM H WEEKS	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
AVP, COMMERICAL LOAN SERVICING	MICHAEL AGOSTINI	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
REGIONAL VICE PRESIDENT	JOHN BAUER	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
SECRETARY AND CFO	YINAN YU	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
VP, REIG	JONATHAN L MCKETNEY	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
REGIONAL VICE PRESIDENT	ROBERT SHIP	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
REGIONAL VICE PRESIDENT	KEVIN M SMITH	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
SVP, REIG	HERBERT A KOLBEN	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
CHIEF COMPLIANCE OFFICER	RICHARD LAROCQUE	8403 COLESVILLE RD SILVER SPRING,, MD 20910 USA
VP, INSTITUTIONAL SALES	MICHAEL PISTNER	8403 COLESVILLE RD SILVER SPRING,, MD 20910 USA
DIRECTOR	HERBERT A. KOLBEN	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
DIRECTOR	JOSEPH R. LINEHAN	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA
DIRECTOR	DARIN R HARDY	8403 COLESVILLE RD SILVER SPRING, MD 20910 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 12 Day of April, 2024 at 9:20:05 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By BRADLEY SLENKER
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

© 2007 - 2024 State of Rhode Island
All Rights Reserved