



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024: 2024

1. Corporate ID No. 000119870

2. Name of Corporation ULLICO Investment Advisors, Inc.

3. Street Address Principal Business Office:

No. and Street: 8403 COLESVILLE RD,

City or Town: SILVER SPRING

State: MD

Zip: 20910

Country: USA

4. Business Phone No.

5. State of Incorporation

State: MD

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523920

6. Brief Description of the Character of Business Conducted in Rhode Island

SEC REGISTERED MONEY MANAGER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

| Title | Individual Name First, Middle, Last, Suffix | Address Address, City or Town, State, Zip Code, Country |
|-------|--|--|
|-------|--|--|

| | | |
|-----------------------------------|----------------------|--|
| PRESIDENT | KENNETH DUNLOP SCOTT | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| PRESIDENT | JOSEPH R. LINEHAN | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| VICE PRESIDENT | MICHAEL PISTNER | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| VICE PRESIDENT | JONATHAN L MCKETNEY | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| REGIONAL VICE PRESIDENT | WILLIAM H WEEKS | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| AVP, COMMERCIAL LOAN SERVICING | MICHAEL AGOSTINI | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| REGIONAL VICE PRESIDENT | JOHN BAUER | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| SECRETARY AND CFO | YINAN YU | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| VP, REIG | JONATHAN L MCKETNEY | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| REGIONAL VICE PRESIDENT | ROBERT SHIP | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| REGIONAL VICE PRESIDENT | KEVIN M SMITH | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| SVP, REIG | HERBERT A KOLBEN | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| CHIEF COMPLIANCE OFFICER | RICHARD LAROCQUE | 8403 COLESVILLE RD SILVER SPRING,, MD 20910 USA |
| VP, INSTITUTIONAL SALES | MICHAEL PISTNER | 8403 COLESVILLE RD SILVER SPRING,, MD 20910 USA |
| DIRECTOR | HERBERT A. KOLBEN | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| DIRECTOR | JOSEPH R. LINEHAN | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |
| DIRECTOR | DARIN R HARDY | 8403 COLESVILLE RD SILVER SPRING, MD 20910 USA |

8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares <i>Number of Shares</i> | Total Issued and Outstanding <i>Num of Shares</i> |
|----------------|-----------------|---------------------|--|--|
| CNP | | \$0.0000 | 1,000.00 | 1000 |

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 12 Day of April, 2024 at 9:20:05 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By BRADLEY SLENKER

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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