



**State of Rhode Island  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: February 1 - May 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024:** 2024

**1. Corporate ID No.** 000023393

**2. Name of Corporation** Raymond James Financial Services, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 880 CARILLON PARKWAY

City or Town: ST. PETERSBURG

State: FL

Zip: 33716

Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: FL

**NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

**6. Brief Description of the Character of Business Conducted in Rhode Island**

AN INDEPENDENT CONTRACTOR BROKER-DEALER SUBSIDIARY OF RJF. RJFS ALSO HAS FOUR INSTITUTIONAL SALES OFFICES IN EUROPE. RJFS CLEARS ALL OF ITS BUSINESS ON A FULLY-DISCLOSED BASIS THROUGH RJA.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	SCOTT A. CURTIS	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
SECRETARY	BROCK A. GUICE	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
CFO	MARSHALL F. OLLIA	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
SENIOR VICE PRESIDENT	SCOTT ZEBRA	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
PRESIDENT, ICD	SHANNON B. REID	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
SENIOR VICE PRESIDENT, ICD REGIONAL DIRECTOR	DAVID W. SISEMORE	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
ASSISTANT SECRETARY	JONATHAN J. DOYLE	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
CHIEF LEGAL OFFICER	JONATHAN N. SANTELLI	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
AML, ABAC AND OFAC SANCTIONS OFFICER	KATHLEEN LENTZ HUMPHREY	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
CHIEF OPERATING OFFICER	KIM JENSON	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
SENIOR VICE PRESIDENT, ICD REGIONAL DIRECTOR	KIRK E. BELL	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
CHIEF COMPLIANCE OFFICER	SUZANNE E. AULETTA	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
SENIOR VICE PRESIDENT, HEAD OF FID	STEPHEN E. KRUCHTEN	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
SENIOR VICE PRESIDENT, FINANCE	BROCK A. GUICE	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
SENIOR VICE PRESIDENT, ICD REGIONAL DIRECTOR	WILLIAM J. COUNSMAN	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
DIRECTOR	STEPHEN E. KRUCHTEN	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
DIRECTOR	TASHTEGO S. ELWYN	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
DIRECTOR	VINCENT J. CAMPAGNOLI	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
DIRECTOR	WILLIAM C. GEIS	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
DIRECTOR	SHANNON B. REID	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
DIRECTOR	SCOTT A. CURTIS	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA

**8. Shares Authorized and Issued**

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	5,000.00	5000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 12 Day of April, 2024 at 10:37:03 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By STACEY BUSCH  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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