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# State of Rhode Island Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

# Foreign Business Corporation Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR **2024**: 2024

1. Corporate ID No. 000005919

2. Name of Corporation STEPHENS INC.

3. Street Address Principal Business Office:

No. and Street: 111 CENTER ST

STE 2020

City or Town: <u>LITTLE ROCK</u> State: <u>AR</u> Zip: <u>72201</u> Country: <u>US</u>

4. Business Phone No.

5. State of Incorporation

State: AR

### **NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

523110

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER, DEALER, INVESTMENT BANK

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country	
PRESIDENT	WARREN A. STEPHENS	111 CENTER STREET LITTLE ROCK, AR 72201 US	
TREASURER	MARK DORAMUS	111 CENTER ST STE 2020 LITTLE ROCK, AR 72201 US	
VICE PRESIDENT	MICHAEL CRONKHITE	111 CENTER ST STE 2020 LITTLE ROCK, AR 72201 US	
DIRECTOR	WARREN A. STEPHENS	111 CENTER STREET LITTLE ROCK, AR 72201 US	

#### 8. Shares Authorized and Issued

Class of Stock	Carian of Stank	Dor Value Dor		Total Issued
Class of Stock	Series of Stock	Par Value Per	Tatal Authorisad	and
		Share	Total Authorized	Outstanding
			Shares	Num of
			Number of Shares	Shares
PNP		\$0.0000	1,000.00	0
CNP		\$0.0000	1,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 19 Day of April, 2024 at 2:27:27 PM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

### By MICHAEL CRONKHITE

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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