



**State of Rhode Island  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: February 1 - May 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024:** 2024

**1. Corporate ID No.** 000157830

**2. Name of Corporation** RIVERSOURCE DISTRIBUTORS, INC.

**3. Street Address Principal Business Office:**

No. and Street: 707 2ND AVE SO, COUNTY: HENNEPIN

City or Town: MINNEAPOLIS

State: MN Zip: 55474 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: DE

**NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

**6. Brief Description of the Character of Business Conducted in Rhode Island**

LIMITED PURPOSE BROKER-DEALER SERVING AS THE PRINCIPAL UNDERWRITER  
AND/OR  
DISTRIBUTOR FOR RIVERSOURCE ANNUITIES AND INSURANCE PRODUCTS SOLD  
THROUGH  
AMERIPRISE FINANCIAL SERVICES, INC. AND THIRD-PARTY CHANNELS

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
TREASURER	SHWETA JHANJI	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
SECRETARY	PAULA J. MINELLA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
CEO	GUMER CRUZ ALVERO	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
CFO	JASON S. BARTYLLA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	AMBER R. ALLEN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	SIDDHARTH TANDON	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	SANCHIT RATRA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	ANSHUL SOMAN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	RIMA NEOG	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	ASHUTOSH PARASHAR	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	TARA M. STOFFEL	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT-RIVERSOURCE SERVICE & OPERATIONS	TRAVIS J. RAKO	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT-FUND SELECTION & MANAGEMENT	KEVIN L. STJOHN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT-INSURANCE AND ANNUITIES PRODUCT DEVELOPMENT &	STEPHEN R. WOLFRATH	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	SARA DATKO	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	SAVANNAH N. PEDERSON	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	YATIN DANDONA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
GENERAL COUNSEL	PAULA J. MINELLA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	LINDA L. BENSON	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
INFORMATION SECURITY OFFICER	SCOTT MORE	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
CHIEF COMPLIANCE OFFICER	MARK T. LASSWELL	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA

ANTI-MONEY LAUNDERING OFFICER AND IDENTITY THEFT PREVENTION	THOR C. NELSON	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
AUTHORIZED SIGNATORY - STRATEGIC SOURCING	JOSEPH F. ROMANO	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	MICHELLE L. ANDERSON	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
AUTHORIZED SIGNATORY - STRATEGIC SOURCING	WILLIAM J. SCHNEIDER	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
AUTHORIZED SIGNATORY - STRATEGIC SOURCING	JACK A. KARINIEMI	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	JEANNE PETERSON	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
AUTHORIZED SIGNATORY - STRATEGIC SOURCING	ARVIND X. SHARMA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
AUTHORIZED SIGNATORY - STRATEGIC SOURCING	MARK SKOGSTAD	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	CASSANDRA L. LARSEN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
AUTHORIZED SIGNATORY - STRATEGIC SOURCING	KRISTIN H. SPELTZ	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	SANJEEV PRAKASH VERMA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	BHAVYA HEGDE	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT - ENTERPRISE REAL ESTATE	BRYAN N. SUCHY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	ANDREA S. BJERGO	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	CHOU (KHYSTIE) LEE	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
SENIOR VICE PRESIDENT - SERVICE & OPERATIONS	JASON J. POOR	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	JONATHAN V. BOECK	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	PEGGY I. SAY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	MELANIE A. BECKMAN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	JAMES D. NYGREN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	DEBRA R. DAHL	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	BRUCE F. SHANKEY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	MICHAEL J. PELZEL	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA

ASSISTANT TREASURER	LANI J. CANNIFF	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	SUSAN M. VEGA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	GREGORY TOOHEY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	NICOLE D. WOOD	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
AUTHORIZED SIGNATORY - STRATEGIC SOURCING	CAROLE BRAILEY	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	ANDREA E. RIVERA	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
SENIOR VICE PRESIDENT - CORPORATE TAX	MICHAEL J. PELZEL	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT TREASURER	DAVID MALVASO	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
ASSISTANT SECRETARY	AMBER RASMUSSEN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	SARA S. JANZ	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	GUMER CRUZ ALVERO	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA
DIRECTOR	KARA D. SHERMAN	707 2ND AVE SO, COUNTY: HENNEPIN MINNEAPOLIS, MN 55474 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
STK		\$0.0100	2,000.00	1

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 22 Day of April, 2024 at 3:42:59 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KELLY LETTMANN

Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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