RI SOS Filing Number: 202452481220 Date: 4/25/2024 9:48:00 AM



## State of Rhode Island Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Limited Liability Company Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR **2024**: 2024

**1. ID No.** 000796177

- 2. Exact Name of the Limited Liability Company <u>CETERA ADVISOR NETWORKS LLC</u>
- 3. State of Formation

State: DE

## **NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

523120

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

## **BROKER-DEALER & RIA**

5. Principal Office Address

No. and Street: 2301 ROSECRANS AVE

STE 5100

City or Town: <u>EL SEGUNDO</u> State: <u>CA</u> Zip: <u>90245</u> Country: <u>USA</u>

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: Contact Title:

No. and Street: 2301 ROSECRANS AVE

STE 5100

City or Town: <u>EL SEGUNDO</u> State: <u>CA</u> Zip: <u>90245</u> Country: <u>USA</u>

7. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER Changes Require Filing of Form 642 - R.I.G.L. 7-16-11

8. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

**Signed this 25 Day of April, 2024 at 9:49:29 AM by the authorized person.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.

## By GARY L. CHAFFIN

Signature of Authorized Person

Form No. 632 Revised 09/07

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