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# State of Rhode Island Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR **2024**: 2024

- 1. Corporate ID No. 000024524
- 2. Name of Corporation FSC SECURITIES CORPORATION
- 3. Street Address Principal Business Office:

No. and Street: 2300 WINDY RIDGE PARKWAY

**SUITE 750** 

City or Town: ATLANTA State: GA Zip: 30339 Country: USA

- 4. Business Phone No.
- 5. State of Incorporation

State: DE

### **NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here</u>. More information on <u>NAICS</u> can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

## BROKER-DEALER AND REGISTERED INVESTMENT ADVISER.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country	
PRESIDENT	JEFFREY SILLS	2300 WINDY RIDGE PARKWAY, SUITE 750 ATLANTA, GA 30339 USA	
TREASURER	DAVID SCHMIDT	SUITE 2000 20 E. THOMAS RD PHOENIX, AZ 85012 USA	
SECRETARY	NINA MCKENNA	SUITE 2000 20 E. THOMAS RD PHOENIX, AZ 85012 USA	
DIRECTOR	MATTHEW SCHLUETER	2300 WINDY RIDGE PKWY., STE 750 ATLANTA, GA 30339 USA	
DIRECTOR	GREG CORNICK	SUITE 2000 20 E. THOMAS RD PHOENIX, AZ 85012 USA	
DIRECTOR	JEFFREY SILLS	SUITE 750 2300 WINDY RIDGE PARKWAY ATLANTA, GA 30339 USA	

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per		Total Issued and
		Share	Total Authorized Shares Number of Shares	Outstanding  Num of  Shares
CWP		\$10.0000	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 1 Day of May, 2024 at 2:00:44 PM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

## By NINA MCKENNA

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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