



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2024: 2024

1. Corporate ID No. 000038635

2. Name of Corporation Caterpillar Inc.

3. Street Address Principal Business Office:

No. and Street: 5205 N. O'CONNOR BOULEVARD
SUITE 100

City or Town: IRVING State: TX Zip: 75039 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

333120

6. Brief Description of the Character of Business Conducted in Rhode Island

MANUFACTURES AND SELLS EARTHMOVING AND MATERIALS HANDLING
EQUIPMENT AND
DIESEL ENGINES.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	PATRICK THOMAS MCCARTAN	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
SECRETARY	NICOLE PUZA	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
CEO / DIRECTOR	DONALD JAMES UMPLEBY III	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
DIRECTOR	DAVID L CALHOUN	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
DIRECTOR	DANIEL M DICKINSON	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING,, TX 75039 USA
DIRECTOR	GERALD JOHNSON	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
DIRECTOR	DAVID W MACLENNAN	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
DIRECTOR	DEBRA REED-KLAGES	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
DIRECTOR	SUSAN C SCHWAB	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
DIRECTOR	JUDITH MARKS	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
DIRECTOR	JAMES FISH JR.	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA
DIRECTOR	RAYFORD WILKINS JR.	5205 N. O'CONNOR BOULEVARD, SUITE 100 IRVING, TX 75309 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
STK	C	\$1.0000	5,000,000.00	0
STK	C	\$1.0000	2,000,000,000.00	814894624

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 1 Day of May, 2024 at 9:34:47 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in*

compliance with R.I. Gen. Laws § 7-1.2.

By NICOLE PUZA

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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