



State of Rhode Island  
Office of the Secretary of State

Fee: \$310.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Corporation  
Application for Certificate of Authority

(Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended)

SECTION I

The name of the corporation is Alera Group, Inc.

SECTION II

It is incorporated under the laws of State: DE Country: USA

This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing

SECTION III

The name, if different, which it elects to use in Rhode Island:

(a) *If the name of the corporation does not contain the word "corporation", "company", "incorporated", or "limited", or an abbreviation thereof, add one of these corporate endings for use in Rhode Island OR*

(b) *if the corporation proposes to qualify and transact business under a different name, list that name:*

*Note: If option (b) is elected, a Fictitious Business Name Statement (FORM 624A) is required to be filed with this application*

SECTION IV

The date of its incorporation is 11/1/2016

and the period of its duration is  Perpetual

SECTION V

The location of its principal office is

No. and Street: 3 PARKWAY NORTH, STE. 500

City or Town: DEERFIELD

State: IL

Zip: 60015

Country: USA

SECTION VI

The address of its proposed registered office in Rhode Island is

No. and Street: 222 JEFFERSON BOULEVARD, SUITE 200

City or Town: WARWICK

State: RI

Zip: 02888

and the name of its proposed registered agent in Rhode Island at that address is CORPORATION SERVICE COMPANY

SECTION VII

The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

INSURANCE BROKERAGE AND WEALTH MANAGEMENT SERVICES.

SECTION VIII

(a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JAMES BLUE	3 PARKWAY NORTH, STE. 500 DEERFIELD, IL 60015 USA

TREASURER	ROB LIEBLEIN	3 PARKWAY NORTH, STE. 500 DEERFIELD, IL 60015 USA
SECRETARY	PETER J. MARATHAS JR.	410 NORTH MICHIGAN AVE.12 FLR CHICAGO, IL 60611 USA
CEO	ALAN JAY LEVITZ	THREE PARKWAY NORTH, SUITE 500 DEERFIELD, IL 60015 USA
CFO	BRIAN JAY CARACCILO	THREE PARKWAY NORTH, SUITE 500 DEERFIELD, IL 60015 USA
CHIEF OF SALES	MICHAEL JOHN FERREIRA	1201 PACIFIC AVENUE, SUITE 1000 TACOMA, WA 98402 USA
CHIEF OPERATING OFFICER	WILLIAM BROWN CORRIGAN	THREE PARKWAY NORTH, SUITE 500 DEERFIELD, IL 60015 USA
CHIEF LEGAL OFFICER	PETER JAMES MARATHAS JR.	410 N. MICHIGAN AVE., 12TH FLOOR CHICAGO, IL 60015 USA
VICE PRESIDENT	PETER J. MARATHAS JR.	410 NORTH MICHIGAN AVE.12 FLR, CHICAGO, IL 60611 USA
DIRECTOR	ALAN LEVITZ	3 PARKWAY NORTH, STE. 500 DEERFIELD, IL 60015 USA
DIRECTOR	JAMES RYAN CLARK	4 EMBARCADERO CENTER, #1900 SAN FRANCISCO, CA 94111 USA
DIRECTOR	SHEILA HOODA	410 NORTH MICHIGAN AVE.12 FLR CHICAGO, IL 60611 USA
DIRECTOR	JOHN ALBERT ADDEO	4 EMBARCADERO CENTER, #1900 SAN FRANCISCO, CA 94111 USA
DIRECTOR	CHRISTOPHER JOHN ACKERMAN	676 NORTH MICHIGAN AVENUE, SUITE 3300 CHICAGO, IL 60611 USA
DIRECTOR	MICHAEL JOHN FERREIRA	1202 PACIFIC AVENUE, SUITE 1000 TACOMA, WA 98402 USA
DIRECTOR	MATTHEW PAUL MCCABE	4 EMBARCADERO CENTER, #1900 SAN FRANCISCO, CA 94111 USA

(b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	JAMES BLUE	3 PARKWAY NORTH, STE. 500 DEERFIELD, IL 60015 USA
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#### SECTION IX

The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Num of Shares</i>	
CWP			\$0.0100	100.00

**Signed this 23 Day of December, 2024 at 9:36:18 AM by the officers(s).** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.*

By PETER J. MARATHAS, JR.  
Signature of Authorized Officer of the Corporation

Form No. 150  
Revised 09/07

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# Delaware

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "ALERA GROUP, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTEENTH DAY OF DECEMBER, A.D. 2024.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "ALERA GROUP, INC." WAS INCORPORATED ON THE FIRST DAY OF NOVEMBER, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



  
Jeffrey W. Bullock, Secretary of State

6199874 8300

SR# 20244482452

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 205122048

Date: 12-13-24