

No Filing Fee (See Instructions)

ID Number: 001698638



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Division of Business Services
148 W. River Street
Providence, Rhode Island 02904-2615

FILED

DEC 17 2024

BY ESYdK

11:20

APPLICATION FOR TRANSFER OF AUTHORITY

DPL FINANCIAL PARTNERS, LLC

(Insert full name of the entity following the transfer)

SECTION I: TO BE COMPLETED BY ENTITY TRANSFERRING AUTHORITY

Pursuant to the applicable provisions of the Rhode Island General Laws, 1956, as amended, the undersigned duly qualified foreign (*check one box only*):

- ☐ Non-Profit Corporation *or* ☐ Business Corporation *or* ☒ Limited Liability Company *or*
☐ Limited Partnership *or* ☐ Limited Liability Partnership

submits the following Application for the purpose of transferring its authority to a (*check one box only*):

- ☐ Limited Partnership *or* ☒ Limited Liability Company *or* ☐ Business Corporation *or*
☐ Limited Liability Partnership *or* ☐ Non-Profit Corporation

a. The name of the entity filing this application for transfer is:

DPL FINANCIAL PARTNERS, LLC

b. The date on which the entity filing this application qualified to conduct business in the State of Rhode Island:

8/1/2019

c. The jurisdiction upon transfer of authority:

Delaware

d. The name of the entity following the transfer of authority is:

DPL FINANCIAL PARTNERS, LLC

e. The application for transfer is filed as an accompanying certificate to the ☐ certificate of registration for a limited partnership *or* ☒ application for registration for a limited liability company *or* ☐ application for certificate of authority for a business corporation *or* ☐ application for certificate of authority for a non-profit corporation *or* ☐ notice of registration for a registered limited liability partnership (*check one box only*).

f. The application for transfer is accompanied by a certificate of good standing or legal existence issued by the proper officer of the state or country under the laws of which it is incorporated.

SECTION II: TO BE COMPLETED BY ENTITY TRANSFERRING AUTHORITY

Under penalty of perjury, I/we declare and affirm that I/we have examined this Application for Transfer of Authority, including any accompanying attachments, and that all statements contained herein are true and correct and that the undersigned is authorized to sign this certificate on behalf of the entity set forth in Section 1A.

Date: _____

Print Name of Other Entity

OR

Print Name of Partnership

By: _____
Signature of Authorized Person

By: _____
Signature of Partner

By: _____
Signature of Authorized Person

By: _____
Signature of Partner

By: _____
Signature of Partner

Print Name of Corporation

OR

DPL FINANCIAL PARTNERS, LLC

Print Name of Limited Liability Company

By: _____
Signature of Authorized Person

By: David J. Lee
Signature of Authorized Person

By: _____
Signature of Authorized Person

By: _____
Signature of Authorized Person



State of Rhode Island

Department of State | Office of the Secretary of State

Gregg M. Amore, *Secretary of State*

I, GREGG M. AMORE, Secretary of State of the State of Rhode Island,

hereby certify that this document, duly executed in accordance with the provisions

of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this

office on this day:

December 17, 2024 11:20 AM

A handwritten signature in black ink, reading "Gregg M. Amore". The signature is written in a cursive style.

Gregg M. Amore
Secretary of State

