

**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Limited Liability Company
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2025: 2025**1. ID No.** 001691010**2. Exact Name of the Limited Liability Company** COMPLIANCE RESOURCE ASSOCIATES LLC**3. State of Formation**State: RI**NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523930**4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island**INDEPENDENT REGULATORY CONSULTING SERVICES FOR SEC REGISTERED INVESTMENT ADVISORS**5. Principal Office Address**No. and Street: ONE WAYLAND AVENUE
APT. 308 SOUTHCity or Town: PROVIDENCE State: RI Zip: 02906 Country: US**6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:**

Contact Name: Contact Title:

No. and Street: ONE WAYLAND AVENUE, APT 308 SOUTHI AM SELF EMPLOYED AS A COMPLIANCE CONSULTANT TO SEC RECity or Town: PROVIDENCE State: RI Zip: 02906 Country: US**7. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER**
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11FRED J. FRANKLIN ONE WAYLAND AVENUE ,APT. 308 SOUTH PROVIDENCE , RI 02906**8. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).**

Signed this 4 Day of February, 2025 at 9:29:44 AM by the authorized person. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By FRED J FRANKLIN
Signature of Authorized Person

Form No. 632
Revised 09/07

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