



**State of Rhode Island  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: February 1 - May 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2025:** 2025

**1. Corporate ID No.** 000142593

**2. Name of Corporation** ROACH HOWARD SMITH & BARTON, INC.

**3. Street Address Principal Business Office:**

No. and Street: 8750 N CENTRAL EXPWY STE 500

City or Town: DALLAS

State: TX Zip: 75231 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: TX

**NAICS CODE**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

**6. Brief Description of the Character of Business Conducted in Rhode Island**

INSURANCE AGENCY SALES / MARKETING

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|-------|------------------------------------------------|------------------------------------------------------------|
|-------|------------------------------------------------|------------------------------------------------------------|

|                     |                        |                                                           |
|---------------------|------------------------|-----------------------------------------------------------|
| SECRETARY           | BARTON H TUCKER        | 8750 N CENTRAL EXPRESSWAY STE 500<br>DALLAS, TX 75231 USA |
| CEO                 | THOMAS HUGHSTON        | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| VICE PRESIDENT      | SHANNON HANSEN         | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| SR. VICE PRESIDENT  | JOHN HALL III          | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| SR. VICE PRESIDENT  | BARTON H TUCKER        | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| VICE PRESIDENT      | BRIAN TUCKER           | 8750 N CENTRAL EXPRESSWAY STE 500<br>DALLAS, TX 75231 USA |
| COO/DIRECTOR        | JULIA MILLER-SPRACKLEN | 8750 N CENTRAL EXPRESSWAY STE 500<br>DALLAS, TX 75231 USA |
| SR. VICE PRESIDENT  | DOUG JONES             | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| SR. VICE PRESIDENT  | DAVID COOPER           | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| CONTROLLER          | GRADY ALLUMS           | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| VICE PRESIDENT      | SPENCER MCCLENAHAN     | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| VICE PRESIDENT      | DENISE BUMP            | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| ASST VICE PRESIDENT | ALLISON NIXON          | 8750 N CENTRAL EXPRESSWAY STE 500<br>DALLAS, TX 75231 USA |
| VICE PRESIDENT      | SHELLIE CROY           | 8750 N CENTRAL EXPRESSWAY STE 500<br>DALLAS, TX 75231 USA |
| ASST VICE PRESIDENT | NICHOLAS GALATI        | 8750 N CENTRAL EXPRESSWAY STE 500<br>DALLAS, TX 75231 USA |
| ASST VICE PRESIDENT | MICHAEL BAKER          | 8750 N CENTRAL EXPRESSWAY STE 500<br>DALLAS, TX 75231 USA |
| VICE PRESIDENT      | DIRK HANSEN            | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| OTHER OFFICER       | KARI SMITH             |                                                           |
| DIRECTOR            | DOUGLAS JONES          | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| DIRECTOR            | JOHN HALL III          | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| DIRECTOR            | THOMAS A HUGHSTON      | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |
| DIRECTOR            | BARTON H TUCKER        | 8750 N CENTRAL EXPY STE 500<br>DALLAS, TX 75231 USA       |

## 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares<br><i>Number of Shares</i> | Total Issued and Outstanding<br><i>Num of Shares</i> |
|----------------|-----------------|---------------------|----------------------------------------------------|------------------------------------------------------|
| CWP            |                 | \$0.1000            | 300,000.00                                         | 88667                                                |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 12 Day of February, 2025 at 3:02:05 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KARI SMITH

Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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