



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR 2025: 2025

1. Corporate ID No. 000088257

2. Name of Corporation LPL Insurance Associates, Inc.

3. Street Address Principal Business Office:

No. and Street: 4707 EXECUTIVE DRIVE

City or Town: SAN DIEGO

State: CA

Zip: 92121

Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

INSURANCE AGENCY AND/OR BROKER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
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PRESIDENT	TIM RISTAU DONA	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
TREASURER	DIRK WYCKOFF	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
SECRETARY	VACANT RISTAU VACANT	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
VICE PRESIDENT	RACHEL RISTAU SACHS	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
VICE PRESIDENT	CATHY BORGMAN	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
ASSISTANT TAX OFFICER	GWEN NGO	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
ASSISTANT TREASURER	JOSH MOORE	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
ASSISTANT SECRETARY	ROBERT S. HATFIELD III	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
COMPLIANCE OFFICER	JIM S. MCHALE	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
TAX OFFICER	NICHOLAS RISTAU STOKES	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
DIRECTOR	KATELYN RISTAU SHACKLETON	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA
DIRECTOR	ANERI JAMBUSARIA	4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	1,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 18 Day of February, 2025 at 12:01:05 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By CELENA JENTSCH

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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