State of Rhode Island
Department of State - Business Services Division

Application for Certificate of Authority

FOREIGN Business Corporation

→ Filing Fee: $310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is:

Surety360, Inc.

2. It is incorporated under the laws of: Missouri

3. The name, if different, which it elects to use in Rhode Island is:

(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

4. The date of its incorporation is: 11/26/2024

And the period of its duration is: **CHECK ONE BOX ONLY**

X Perpetual (on-going)

Date certain for dissolution _____

5. The address of its principal office is:

803 E. Walnut St., 5th Floor, Columbia, MO 65201

6. The name and address of the initial registered agent/office in Rhode Island:

Agent Name: National Registered Agents, Inc.

Street Address (NOT a P.O. Box): 450 Veterans Memorial Parkway, Suite 7A

City/Town	State	Zip Code
East Providence	**RHODE ISLAND**	02914

MAIL TO:
Division of Business Services
148 W. River Street, Providence, Rhode Island 02904-2615
Phone: (401) 222-3040
Website: www.sos.ri.gov

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FORM 150- Revised: 12/2023

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Operate as a wholesale surety producer.

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS
SEE ATTACHMENT	

Check the box to indicate an attachment X

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT	SEE ATTACHMENT	
VICE PRESIDENT		
TREASURER		
SECRETARY		

Check the box to indicate an attachment X

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
1,000	Common		$0.010000

10. An estimate, **as a percentage**, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. *(Note: Percentage obtained from worksheet.)*

0 %

11. An estimate, **as a percentage**, of the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. (*Note: Percentage obtained from worksheet.)*

0.5 %

Surety360, Inc.
Officer and Director Attachment

Officers:
Joshua K. Kayser, President
803 E. Walnut St., 5th Fl.
Columbia, MO 65201

Jonathon Lee, Vice President & Treasurer
13403 Northwest Fwy.
Houston, TX 77040

Alexander Ludlow, Vice President & Secretary
13403 Northwest Fwy.
Houston, TX 77040

Adam S. Pessin, Vice President
801 S. Figueroa St. Suite 700
Los Angeles, CA 90017

Frank M. Mester, Vice President
801 S. Figueroa St. Suite 700
Los Angeles, CA 90017

Jennifer Guppy, Vice President & Assistant Secretary
13403 Northwest Fwy.
Houston, TX 77040

Lakshmi Krishnan, Vice President
13403 Northwest Fwy.
Houston, TX 77040

Directors:
Adam S. Pessin
801 S. Figueroa St. Suite 700
Los Angeles, CA 90017

Susan Rivera
13403 Northwest Fwy.
Houston, TX 77040

Michael J. Shell
13403 Northwest Fwy.
Houston, TX 77040

12. This application must be accompanied by a Certificate of Good Standing/Letter of Status from the state or country of formation dated within 60 days of the date of this filing.

13. Date when the Certificate of Authority will be effective: **CHECK ONE BOX ONLY**

X Date received (Upon filing)

Later effective date (Date must be no more than 90 days from the date of filing)_____

14. *Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.*

Type or Print Name of Authorized Officer	Date
Kara Korosec, Attorney-in-Fact	02/20/2025

Signature of Authorized Officer of the Corporation

Kara Korosec

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email corporations@sos.ri.gov.

STATE OF MISSOURI

Denny Hoskins
Secretary of State

CORPORATION DIVISION
CERTIFICATE OF GOOD STANDING

I, DENNY HOSKINS, Secretary of State of the State of Missouri, do hereby certify that the records in my office and in my care and custody reveal that

SURETY360, INC.
001650250

was created under the laws of this State on the 26th day of November, 2024, and is in good standing, having fully complied with all requirements of this office.

IN TESTIMONY WHEREOF, I hereunto set my hand and cause to be affixed the GREAT SEAL of the State of Missouri. Done at the City of Jefferson, this 19th day of February, 2025.

Denny Hoskins
Secretary of State

Certification Number: CERT-02192025-0024

State of Rhode Island
Department of State | Office of the Secretary of State
Gregg M. Amore, *Secretary of State*

I, GREGG M. AMORE, Secretary of State of the State of Rhode Island, hereby certify that this document, duly executed in accordance with the provisions of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this office on this day:

March 18, 2025 02:36 PM

Gregg M. Amore
Secretary of State