RI SOS Filing Number: 202568367010 Date: 3/27/2025 12:44:00 PM



State of Rhode Island Office of the Secretary of State

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Limited Liability Company Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR **2025**: <u>2025</u>

1. ID No. 001750041

- 2. Exact Name of the Limited Liability Company $\underline{PRIME\ CAPITAL\ INVESTMENT\ ADVISORS},$ LLC
- 3. State of Formation

State: KS

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

523930

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

FINANCIAL SERVICES FOR INDIVIDUAL WEALTH MANAGEMENT INCLUDING INVESTMENT

ADVICE, PORTFOLIO MANAGEMENT, FINANCIAL PLANS, AND INSURANCE. PLAN DESIGN AND

INVESTMENTS FOR QUALIFIED PLANS ALONG WITH PARTICIPANT EDUCATION.

5. Principal Office Address

No. and Street: 6201 COLLEGE BOULEVARD

SUITE 150

City or Town: OVERLAND PARK State: KS Zip: 66211 Country: USA

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Fee: \$50.00

Contact Name: <u>STEPHANIE ROGERS</u> Contact Title: <u>DIRECTOR OF FINANCE</u>

No. and Street: 6201 COLLEGE BLVD. #150

SUITE 150

City or Town: OVERLAND PARK State: KS Zip: 66211 Country: USA

7. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER Changes Require Filing of Form 642 - R.I.G.L. 7-16-11

INCORP SERVICES, INC. 222 JEFFERSON BOULEVARD, SUITE 200 WARWICK, RI 02888

8. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 27 Day of March, 2025 at 12:24:46 PM by the authorized person. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.

By **STEPHANIE ROGERS**

Signature of Authorized Person

Form No. 632 Revised 09/07

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