



**State of Rhode Island
Office of the Secretary of State**

Fee: \$310.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Corporation
Application for Certificate of Authority**

(Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended)

SECTION I

The name of the corporation is Swiss Re America Holding Corporation

SECTION II

It is incorporated under the laws of State: DE Country: US

This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing

SECTION III

The name, if different, which it elects to use in Rhode Island:

(a) *If the name of the corporation does not contain the word "corporation", "company", "incorporated", or "limited", or an abbreviation thereof, add one of these corporate endings for use in Rhode Island OR*

(b) *if the corporation proposes to qualify and transact business under a different name, list that name:*

Note: If option (b) is elected, a Fictitious Business Name Statement (FORM 624A) is required to be filed with this application

SECTION IV

The date of its incorporation is 4/13/1973

and the period of its duration is ☒ Perpetual ☐

SECTION V

The location of its principal office is

No. and Street: 175 KING STREET

City or Town: ARMONK

State: NY

Zip: 10504

Country: US

SECTION VI

The address of its proposed registered office in Rhode Island is

No. and Street: 450 VETERANS MEMORIAL PKWY #7A

City or Town: EAST PROVIDENCE

State: RI

Zip: 02914

and the name of its proposed registered agent in Rhode Island at that address is C T CORPORATION SYSTEM

SECTION VII

The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

HOLDING CORPORATION

SECTION VIII

(a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

Title	Individual Name	Address
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	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
SECRETARY	JAY BROWN	1200 MAIN STREET, SUITE 800 KANSAS CITY, MO 64105 US
CEO	MONICA NINGEN	175 KING STREET ARMONK, NY 10504 US
ASSISTANT SECRETARY	ELISSA KENNY	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	NEIL SPRACKLING	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	MONICA NINGEN	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	RICHARD MAYOCK	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	PATRICK RAAFLAUB	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	WILLIAM MALUGEN	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	BARBARA TURNER	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	KAREN GAVAN	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	ANDERS MALSTROM	175 KING STREET ARMONK, NY 10504 US

(b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	JAY BROWN	1200 MAIN STREET, SUITE 800 KANSAS CITY, MO 64105 US
CEO	MONICA NINGEN	175 KING STREET ARMONK, NY 10504 US
ASSISTANT SECRETARY	ELISSA KENNY	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	NEIL SPRACKLING	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	MONICA NINGEN	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	RICHARD MAYOCK	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	PATRICK RAAFLAUB	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	WILLIAM MALUGEN	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	BARBARA TURNER	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	KAREN GAVAN	175 KING STREET ARMONK, NY 10504 US
DIRECTOR	ANDERS MALSTROM	175 KING STREET ARMONK, NY 10504 US

SECTION IX

The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Num of Shares</i>	
CWP		1000	\$100.0000	1,000.00

Signed this 11 Day of June, 2025 at 12:34:41 PM by the officers(s). *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.*

By ELISSA KENNY
Signature of Authorized Officer of the Corporation

Form No. 150
Revised 09/07

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Delaware

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The First State

I, CHARUNI PATIBANDA-SANCHEZ, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "SWISS RE AMERICA HOLDING CORPORATION" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TENTH DAY OF MAY, A.D. 2025.



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SR# 20252048458

You may verify this certificate online at corp.delaware.gov/authver.shtml

C. P. Sanchez

Charuni Patibanda-Sanchez, Secretary of State

Authentication: 203661739

Date: 05-10-25