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State of Rhode Island Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT YEAR **2025**: <u>2025</u>

- 1. Corporate ID No. 000111888
- 2. Name of Corporation Next Financial Group, Inc.
- 3. Street Address Principal Business Office:

No. and Street: 4707 EXECUTIVE DRIVE

City or Town: SAN DIEGO State: CA Zip: 92121 Country: USA

4. Business Phone No.

5. State of Incorporation

State: VA

NAICS CODE

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER-DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	

PRESIDENT	BARRY KNIGHT	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA
SECRETARY	AMANDA HAWLEY	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA
CFO	TRISHA TYMKIW	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA
ASSISTANT SECRETARY	DOUGLAS J. KETTERER	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA
ASSISTANT SECRETARY	ROBERT S. HATFIELD III	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA
ASSISTANT SECRETARY	STEVE MORRISON	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA
CHIEF COMPLIANCE OFFICER	CHRISTIAN TAYLOR	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA
DIRECTOR	EUGENE H. ELIAS JR.	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA
DIRECTOR	DOUGLAS J. KETTERER	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA
DIRECTOR	BARRY KNIGHT	4707 EXECUTIVE DRIVE
		SAN DIEGO, CA 92121 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per		Total Issued and
		Share	Total Authorized Shares	١
			Number of Shares	Num of Shares
CWP		\$0.0100	1,000,000.00	5000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 12 Day of June, 2025 at 8:06:51 AM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By CELENA JENTSCH

Signature of Authorized Representative of the Corporation

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