



State of Rhode Island  
and Providence Plantations  
Office of the Secretary of State

A. Ralph Mollis, Secretary of State  
Corporations Division  
148 W. River Street  
Providence, RI 02904-2615  
401.222.3040

**LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR 2008**

**Filing Period:** September 1 - November 1 • **Filing Fee:** \$50.00\* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

\* In accordance with R.I.G.L. 7-16-66 (d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66 (b)(7c)) is subject to a penalty fee of \$25.00.

1. ID No. 152704		2. Exact name of the limited liability company New England College of Finance, LLC			
3. State of Formation Massachusetts		4. Brief description of the character of the business which is actually conducted in Rhode Island Academic institution dedicated to serving the highly specialized needs of the banking and financial services industries			
5. Principal office address 10 High St., Ste. 204		City Boston	State MA	Zip 02110	
6. MAILING ADDRESS OF LIMITED LIABILITY COMPANY AND NAME OR TITLE OF CONTACT PERSON:					
Contact Name Kerry Paredes		Contact Title Corporate Paralegal			
Street Address 2200 Ross Ave., Ste. 3800		City Dallas	State TX	Zip 75201	
7. NAME AND ADDRESS OF EACH MANAGER OF THE LIMITED LIABILITY COMPANY, IF APPLICABLE - DO NOT LIST MEMBERS FILL IN SPACES BEFORE USING ATTACHMENTS (*X* BOX FOR ATTACHMENT) <input checked="" type="checkbox"/>					
Manager Name Dr. Allen Austill		Manager Name Mr. Michael Williams			
Street Address 103 Belmont St. #1		Street Address 2 Avenue Delafayette			
City Somerville	State MA	Zip 02143	City Boston	State MA	Zip 02108
Manager Name Dr. Joseph Cronin		Manager Name Dr. Gerald Heeger			
Street Address 1250 Hancock St., Ste. 703N		Street Address 5311 Ambergate Ln.			
City Quincy	State MA	Zip 02169	City Dallas	State TX	Zip 75287
8. RESIDENT AGENT IN RHODE ISLAND					
This information is currently of record in the Office of the Secretary of State. Changes require filing of Form 642 - R.I.G.L. 7-16-11					

This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

152704

File Date	<b>FILED</b>
Check No.	OCT 14 2008
By	<i>[Signature]</i>
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

*[Signature]* 9/2008  
Signature of Authorized Person Date  
*Kerry Paredes*  
Print or Type Name of Authorized Person



NEW ENGLAND  
**COLLEGE OF FINANCE**  
ACCESSIBLE. AFFORDABLE. RELEVANT.

**Additional Board Members**

Virginia A. Meany  
2 Avenue Delafayette, Boston, MA 02108  
State Street Corporation

Dr. Roderick R. Paige  
1800 K Street NW, Ste. 1010, Washington DC  
20006

Dr. Robert S. Peterkin  
Harvard Graduate School of Education  
Gutman Library Bldg. #413  
6 Appian Way, Cambridge, MA 02138

**FILED**

**OCT 14 2008**

By 152704