



State of Rhode Island  
and Providence Plantations  
Office of the Secretary of State

A. Ralph Mollis, Secretary of State  
Corporations Division  
148 W. River Street  
Providence, RI 02904-2615  
401.222.3040

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2010**

**Filing Period:** January 1 - March 1 • **Filing Fee:** \$50.00\* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

\* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. <b>25461</b>		2. Name of Corporation <b>Transamerica Financial Advisors, Inc</b>			
3. Street Address Principal Business Office <b>570 Carillon Parkway</b>			City <b>St. Petersburg</b>	State <b>FL</b>	Zip <b>33716</b>
4. Business Phone No. <b>727-299-1769</b>		5. State of Incorporation <b>Delware</b>			
6. Brief Description of the Character of Business Conducted in Rhode Island <b>Broker-Dealer</b>					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name <b>Seth D. Miller</b>			Vice President Name <b>George Chuang</b>		
Street Address <b>570 Carillon Parkway</b>			Street Address <b>570 Carillon Parkway</b>		
City <b>St. Petersburg</b>	State <b>FL</b>	Zip <b>33716</b>	City <b>St. Petersburg,</b>	State <b>FL</b>	Zip <b>33716</b>
Secretary Name <b>Franklyn J. Wollett</b>			Treasurer Name <b>George Chuang</b>		
Street Address <b>570 Carillon Parkway</b>			Street Address <b>570 Carillon Parkway</b>		
City <b>St. Petersburg</b>	State <b>FL</b>	Zip <b>33716</b>	City <b>St. Petersburg,</b>	State <b>FL</b>	Zip <b>33716</b>
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name <b>Edith W. Craig</b>			Director Name <b>Seth D. Miller</b>		
Street Address <b>570 Carillon Parkway</b>			Street Address <b>570 Carillon Parkway</b>		
City <b>St. Petersburg</b>	State <b>FL</b>	Zip <b>33716</b>	City <b>St. Petersburg</b>	State <b>FL</b>	Zip <b>33716</b>
Director Name <b>William H. Geiger</b>			Director Name		
Street Address <b>570 Carillon Parkway</b>			Street Address		
City <b>St. Petersburg</b>	State <b>FL</b>	Zip <b>33716</b>	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.			ISSUED SHARES — THIS SECTION <b>MUST</b> BE COMPLETED		
			Number of Shares <b>1,209</b>	Class/Series <b>common</b>	Par Value <b>\$1.00</b>

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

<b>FILED</b>	
File Date	<b>JAN 11 2010</b>
Check No.	<b>By 301147</b>
By:	
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

*Franklyn J. Wollett* 1/8/10  
Signature Date  
**Franklyn J. Wollett**  
Print or Type Name  
**Secretary**  
Title