



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
 Office of the Secretary of State - Division of Business Services  
 148 W. River Street, Providence, Rhode Island 02904-2615  
 Phone: (401) 222-3040 ~ Email: corporations@sos.ri.gov ~ Website: www.sos.ri.gov

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2014**

Filing Period: January 1 - March 1 • This report must be typed or printed legibly.

Filing Fee: \$50.00 • FAILURE TO FILE THIS REPORT BY MARCH 31 WILL RESULT IN A \$25.00 PENALTY FEE.

1. Entity ID No. <b>59275</b>		2. Exact name of the Corporation <b>Van Liew Securities Inc.</b>			
3. Principal office address <b>One Regency Plaza, Suite One</b>			City <b>Providence</b>	State <b>RI</b>	Zip <b>02903</b>
4. Business Phone No. <b>401-272-2510</b>			5. State of Incorporation <b>Delaware</b>		
6. Brief description of the character of business conducted in Rhode Island <b>Securities brokerage</b>					
7. LIST ALL OFFICERS (NAMES AND ADDRESSES) ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/>					
President Name <b>Joseph J. Healy</b>			Vice-President Name <b>None</b>		
Street Address <b>One Regency Plaza, Suite One</b>			Street Address		
City <b>Providence</b>	State <b>RI</b>	Zip <b>02903</b>	City	State	Zip
Secretary Name <b>Steven M. McInnis</b>			Treasurer Name <b>Kevin M. Oates</b>		
Street Address <b>38 Bellevue Avenue, Suite H</b>			Street Address <b>One Regency Plaza, Suite One</b>		
City <b>Newport</b>	State <b>RI</b>	Zip <b>02840</b>	City <b>Providence</b>	State <b>RI</b>	Zip <b>02903</b>
8. LIST ALL DIRECTORS (NAMES AND ADDRESSES) ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>					
Director Name <b>Alfred B. Van Liew</b>			Director Name <b>Steven M. McInnis</b>		
Street Address <b>One Regency Plaza, Suite One</b>			Street Address <b>38 Bellevue Avenue, Suite H</b>		
City <b>Providence</b>	State <b>RI</b>	Zip <b>02903</b>	City <b>Newport</b>	State <b>RI</b>	Zip <b>02840</b>
Director Name <b>Kevin M. Oates</b>			Director Name		
Street Address <b>One Regency Plaza, Suite One</b>			Street Address		
City <b>Providence</b>	State <b>RI</b>	Zip <b>02903</b>	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.			NUMBER OF SHARES	CLASS/SERIES	PAR VALUE
			1,000	Stk	\$0.00

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date \_\_\_\_\_  
 Check No \_\_\_\_\_  
 By: \_\_\_\_\_  
 FOR SECRETARY OF STATE USE ONLY

**FILED**  
 JUL 23 2014  
 By 229006  
 A.A. 11:31 A.M.

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.  
Kevin M. Oates 7/16/14  
 Signature of Authorized Representative Date  
 Kevin M. Oates  
 Print or Type Name of Authorized Representative

**Van Liew Securities Inc.**

Corporate ID: 59275

2014 Annual Report

Secretary of State

Continuation Sheet

**OFFICERS:**

Chairman:

Alfred B. Van Liew

One Regency Plaza, Suite One

Providence, RI 02903