



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State - Division of Business Services

148 W. River Street, Providence, Rhode Island 02904-2615

Phone: (401) 222-3040 ~ Email: corporations@sos.ri.gov ~ Website: www.sos.ri.gov

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2013

Filing Period: January 1 - March 1 • This report must be typed or printed legibly.

Filing Fee: \$50.00 • FAILURE TO FILE THIS REPORT BY MARCH 31 WILL RESULT IN A \$25.00 PENALTY FEE.

1. Entity ID No. 59275		2. Exact name of the Corporation Van Liew Securities Inc.								
3. Principal office address One Regency Plaza, Suite One			City Providence	State RI	Zip 02903					
4. Business Phone No. 401-272-2510		5. State of Incorporation Delaware								
6. Brief description of the character of business conducted in Rhode Island Securities brokerage										
7. LIST ALL OFFICERS (NAMES AND ADDRESSES) ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/>										
President Name Joseph J. Healy			Vice-President Name None							
Street Address One Regency Plaza, Suite One			Street Address							
City Providence	State RI	Zip 02903	City	State	Zip					
Secretary Name Steven M. McInnis			Treasurer Name Kevin M. Oates							
Street Address 38 Bellevue Avenue, Suite H			Street Address One Regency Plaza, Suite One							
City Newport	State RI	Zip 02840	City Providence	State RI	Zip 02903					
8. LIST ALL DIRECTORS (NAMES AND ADDRESSES) ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>										
Director Name Alfred B. Van Liew			Director Name Steven M. McInnis							
Street Address One Regency Plaza, Suite One			Street Address 38 Bellevue Avenue, Suite H							
City Providence	State RI	Zip 02903	City Newport	State RI	Zip 02840					
Director Name Kevin M. Oates			Director Name							
Street Address One Regency Plaza, Suite One			Street Address							
City Providence	State RI	Zip 02903	City	State	Zip					
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>							
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of Instruction sheet.										
						NUMBER OF SHARES	CLASS/SERIES	PAR VALUE		
						1,000	Stk	\$0.00		

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 STATE OF RHODE ISLAND
 DIVISION OF BUSINESS SERVICES

File Date _____

Check No _____

By: _____

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

FILED

Kevin M. Oates 7/16/14
 Signature of Authorized Representative Date

Kevin M. Oates

Print or Type Name of Authorized Representative

JUL 23 2014

By: 209006

A.A. 11:29 A.M.

Van Liew Securities Inc.

Corporate ID: 59275

2013 Annual Report

Secretary of State

Continuation Sheet

OFFICERS:

Chairman:

Alfred B. Van Liew

One Regency Plaza, Suite One

Providence, RI 02903