RI SOS Filing Number: 201859330250 Date: 2/28/2018 11:38:00 AM



State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2018

1. Corporate ID No. <u>000022257</u>

2. Name of Corporation SIGNATOR INVESTORS, INC.

3. Street Address Principal Business Office:

No. and Street: 200 BERKELEY STREET

City or Town: BOSTON State: MA Zip: 02117 Country: USA

4. Business Phone No.

617-663-3000

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

FINRA REGISTERED BROKER-DEALER ENGAGED IN THE SALE OF FINANCIAL PRODUCTS

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
PRESIDENT	CHRISTOPHER MARYANOPOLIS	200 BERKELEY STREET BOSTON, MA 02117 USA

TREASURER	FRANK RISPOLI	200 BERKELEY STREET BOSTON, MA 02117 USA	
SECRETARY	EMANUEL ALVES	601 CONGRESS STREET BOSTON, MA 02210 USA	
ASSISTANT SECRETARY	BRIAN TUCKER	601 CONGRESS STREET BOSTON, MA 02210 USA	
cco	JOSEPH TERRY	200 BERKEKLEY STREET BOSTON, MA 02117 USA	
DIRECTOR	JOSEPH TERRY	200 BERKELEY STREET BOSTON, MA 02117 USA	
DIRECTOR	MELISSA VACON	200 BERKELEY STREET BOSTON, MA 02117 USA	
DIRECTOR	ANTHONY TETA	601 CONGRESS STREET BOSTON, MA 02210 USA	
DIRECTOR	MITCHELL A. KARMAN	601 CONGRESS STREET BOSTON, MA 02210 USA	
DIRECTOR	CHRISTOPHER MARYANOPOLIS	200 BERKELEY STREET BOSTON, MA 02117 USA	
DIRECTOR	STEVEN DORVAL	601 CONGRESS STREET BOSTON, MA 02210 USA	
DIRECTOR	GINA GOLDYCH WALTERS	601 CONGRESS STREET BOSTON, MA 02117 USA	
DIRECTOR	THOMAS HORACK	200 BERKELEY STREET BOSTON, MA 02117 USA	
DIRECTOR	JILL REBMAN	601 CONGRESS STREET BOSTON, MA 02117 USA	
DIRECTOR	MATTHEW RIGATTI	200 BERKELEY STREET BOSTON, MA 02117 USA	

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CNP		\$0.0000	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 28 Day of February, 2018 at 11:39:57 AM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By BRIAN TUCKER

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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