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# State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

# Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR: 2019** 

1. Corporate ID No. 000274214

2. Name of Corporation GE Capital Markets, Inc.

3. Street Address Principal Business Office:

No. and Street: 901 MAIN AVENUE

City or Town: NORWALK State: CT Zip: 06851 Country: USA

4. Business Phone No.

2032293932

5. State of Incorporation

State: DE

#### ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

523110

6. Brief Description of the Character of Business Conducted in Rhode Island

## SECURITIES AND INVESTMENT ACTIVITIES

### 7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

| Title          | Individual Name             | Address  |  |
|----------------|-----------------------------|--|--|
|                | First, Middle, Last, Suffix | Address, City or Town, State, Zip Code, Country    |  |
| CEO            | ROBERT BLEE                 | 1 OLYMPIC PLACE, SUITE 900<br>TOWSON, MD 21204 USA |  |
| VICE PRESIDENT | LAWRENCE ALFIERI            | 901 MAIN AVENUE                                    |  |

|           |               | NORWALK, CT 06851 USA                              |
|-----------|---------------|--|
| SECRETARY | VICTORIA VRON | 901 MAIN AVE<br>NORWALK, CT 06851 USA              |
| DIRECTOR  | ROBERT BLEE   | 1 OLYMPIC PLACE, SUITE 900<br>TOWSON, MD 21204 USA |

#### 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br>Number of Shares | Total Issued<br>and<br>Outstanding<br>Num of<br>Shares |
|----------------|-----------------|---------------------|--|--|
| STK            |                 | \$1,000.0000        | 1,000.00                                       | 0  |

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 7 Day of February, 2019 at 3:28:12 PM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

### By VICTORIA VRON

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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