



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000062642

2. Name of Corporation Franklin/Templeton Distributors, Inc.

3. Street Address Principal Business Office:

No. and Street: ONE FRANKLIN PARKWAY

City or Town: SAN MATEO

State: CA Zip: 94403-1906 Country: USA

4. Business Phone No.

650-312-4262

5. State of Incorporation

State: NY

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523930

6. Brief Description of the Character of Business Conducted in Rhode Island

MUTUAL FUND DISTRIBUTOR

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

| Title | Individual Name | Address |
|-----------------------|-----------------------------|--|
| | First, Middle, Last, Suffix | Address, City or Town, State, Zip Code, Country |
| SENIOR VICE PRESIDENT | PIERRE CARAMAZZA | 140 FOUNTAIN PARKWAY SAINT PETERSBURG, FL 33716 USA |
| SENIOR VICE PRESIDENT | YAQUB AHMED | 140 FOUNTAIN PARKWAY |

| | | |
|--|-----------------------|--|
| | | SAINT PETERSBURG, FL 33716 USA |
| SENIOR VICE PRESIDENT | ALLISON J. BAUMANN | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| TREASURER | GWEN SHANEYFELT | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| SENIOR VICE PRESIDENT | MICHAEL D MAHONEY | 3366 QUALITY DRIVE RANCHO CORDOVA, CA 95670 USA |
| SENIOR VICE PRESIDENT | JOSEPH L. EPSTEIN, JR | 140 FOUNTAIN PARKWAY SAINT PETERSBURG, FL 33716 USA |
| VICE PRESIDENT | SEAN B. O MALLEY | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| SENIOR VICE PRESIDENT | JEFFERY ROSE | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| DIRECTOR | JED A. PLAFKER | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| CHIEF COMPLIANCE OFFICER | ROBERT LIM | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| SENIOR VICE PRESIDENT | ROGER J MICHAUD | 140 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716 USA |
| PRESIDENT | DANIEL T O'LEAR | 101 JFK PARKWAY SHORT HILLS, NJ 07078 USA |
| EXECUTIVE VICE PRESIDENT | DAVID MCSPADDEN | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| DIRECTOR | DANIEL T. O'LEAR | 101 JFK PARKWAY SHORT HILLS, NJ 07078 USA |
| DIRECTOR | ROBERT M GEPPNER | 140 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716 USA |
| EXECUTIVE VICE PRESIDENT | ROBERT M GEPPNER | 140 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716 USA |
| CFO & DESIGNATED FINANCIAL PRINCIPAL | DAVID PATERSON | 47 WEST 200 SOUTH SALT LAKE CITY, UT 84101 USA |
| SENIOR VICE PRESIDENT | JONATHAN KINGERY | 101 JFK PKWY SHORT HILLS, NJ 07078 USA |
| SENIOR VICE PRESIDENT | KEVIN RUSSELL | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| SECRETARY | VIRGINIA E ROSAS | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| SENIOR VICE PRESIDENT | DANIEL R. GRIP | 140 FOUNTAIN PARKWAY SAINT PETERSBURG, FL 33716 USA |
| VICE PRESIDENT AND ASSISTANT SECRETARY | STEVEN GRAY | ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA |
| SENIOR VICE PRESIDENT | RONICE L BARLOW | 200 KING STREET WEST TORONTO, ON M5H 3T4 CA |

8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares <i>Number of Shares</i> | Total Issued and Outstanding <i>Num of Shares</i> |
|----------------|-----------------|---------------------|--|--|
| CWP | | \$1.0000 | 20,000.00 | 2355 |

9. This report must be executed on behalf of the corporation by an authorized representative. If the

corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 25 Day of February, 2020 at 1:09:21 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By VIRGINIA E. ROSAS
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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