



**State of Rhode Island  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2021

**1. Corporate ID No.** 000062642

**2. Name of Corporation** Franklin/Templeton Distributors, Inc.

**3. Street Address Principal Business Office:**

No. and Street: ONE FRANKLIN PARKWAY

City or Town: SAN MATEO

State: CA Zip: 94403-1906 Country: USA

**4. Business Phone No.**

650-312-4262

**5. State of Incorporation**

State: NY

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523930

**6. Brief Description of the Character of Business Conducted in Rhode Island**

MUTUAL FUND DISTRIBUTOR

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
SENIOR VICE PRESIDENT	PIERRE CARAMAZZA	140 FOUNTAIN PARKWAY SAINT PETERSBURG, FL 33716 USA
SENIOR VICE PRESIDENT	YAQUB AHMED	140 FOUNTAIN PARKWAY

		SAINT PETERSBURG, FL 33716 USA
SENIOR VICE PRESIDENT	ALLISON J. BAUMANN	ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA
TREASURER	GWEN SHANEYFELT	ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA
SENIOR VICE PRESIDENT	MICHAEL D MAHONEY	3366 QUALITY DRIVE RANCHO CORDOVA, CA 95670 USA
SENIOR VICE PRESIDENT	JOSEPH L. EPSTEIN, JR	140 FOUNTAIN PARKWAY SAINT PETERSBURG, FL 33716 USA
VICE PRESIDENT	SEAN B. O MALLEY	ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA
SENIOR VICE PRESIDENT	JEFFERY ROSE	ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA
DIRECTOR	JED A. PLAFKER	ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA
CHIEF COMPLIANCE OFFICER	ROBERT LIM	ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA
SENIOR VICE PRESIDENT	ROGER J MICHAUD	140 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716 USA
PRESIDENT	DANIEL T O'LEAR	101 JFK PARKWAY SHORT HILLS, NJ 07078 USA
DIRECTOR	DANIEL T. O'LEAR	101 JFK PARKWAY SHORT HILLS, NJ 07078 USA
DIRECTOR	ROBERT M GEPPNER	140 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716 USA
EXECUTIVE VICE PRESIDENT	ROBERT M GEPPNER	140 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716 USA
CFO & DESIGNATED FINANCIAL PRINCIPAL	DAVID PATERSON	47 WEST 200 SOUTH SALT LAKE CITY, UT 84101 USA
SENIOR VICE PRESIDENT	JONATHAN KINGERY	101 JFK PKWY SHORT HILLS, NJ 07078 USA
SENIOR VICE PRESIDENT	KEVIN RUSSELL	ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA
SECRETARY	VIRGINIA E ROSAS	ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA
VICE PRESIDENT AND ASSISTANT SECRETARY	STEVEN GRAY	ONE FRANKLIN PARKWAY SAN MATEO, CA 94403 USA
SENIOR VICE PRESIDENT	RONICE L BARLOW	180 FOUNTAIN PKWY ST. PETERSBURG, FL 33701 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	20,000.00	2355

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 18 Day of February, 2021 at 12:44:03 PM. This electronic signature of the individual**

*or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By VIRGINIA E. ROSAS  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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