

RECEIVED
R.I. DEPT. OF STATE
BUS SVCS DIV



State of Rhode Island
Department of State - Business Services Division

2021 OCT -1 P 3:13



Certificate of Authority

FOREIGN Non-Profit Corporation

→ Filing Fee: \$50.00

Pursuant to the provisions of RIGL 7-6-74, the undersigned foreign non-profit corporation hereby applies for a Certificate of Authority to conduct affairs in the State of Rhode Island, and for that purpose submits the following statement:

RECEIVED
R.I. DEPT. OF STATE
BUS SVCS DIV
2021 FEB 28 PM 3:45

1. The name of the corporation is:		
Northcentral University		
1a. The name, if different, which it elects to use in Rhode Island is:		
*If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application.		
2. It is incorporated under the laws of: California		
3. The date of its incorporation is: 04/22/2004		
And the period of its duration is: CHECK ONLY ONE BOX		
<input checked="" type="checkbox"/> Perpetual (on-going)		
<input type="checkbox"/> Date certain for dissolution _____		
4. The address of its principal place of business is: 11355 N. Torrey Pines Road Ste.203, La Jolla, CA. 92037		
5. The name and address of the initial registered agent/office in Rhode Island is:		
Agent Name Corporate Creations Network, Inc.		
Street Address (<u>NOT</u> a P.O. Box) 10 Dorrance Street #700		
City/Town Providence	State RHODE ISLAND	Zip Code 02903

MAIL TO:

Division of Business Services
148 W. River Street, Providence, Rhode Island 02904-2615
Phone: (401) 222-3040
Website: www.sos.ri.gov

FILED

OCT 01 2021

BY 5127T

3:45

6 The purpose or purposes which it proposes to pursue in the conducting its affairs in Rhode Island:
 Northcentral University educates professionals throughout the world and provides an accessible opportunity to earn a U.S. regionally accredited degree.

Check the box to indicate an attachment

7 The names and respective addresses of its directors and officers are:

OFFICE	NAME	ADDRESS
Director	Please see attached.	
Director		
Director		
President		
Vice President		
Treasurer		
Secretary		

Check the box to indicate an attachment

8 This application must be accompanied by a Certificate of Good Standing/Letter of Status from the state or country of formation dated within 60 days of the date of this filing.

Under penalty of perjury, we declare and affirm that we have examined this Application for Certificate of Authority, including and accompanying attachments, and that all statements contained herein are true and correct

Type or Print Name of President OR Vice President Date
G. DAVID HARPOOL **2/15/21**

Signature of President OR Vice President


Type of Print Name of Secretary OR Assistant Secretary Date
Michelle H. Bello **2/12/2021**

Signature of Secretary OR Assistant Secretary


If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email corporations@sos.ri.gov.

Form 100-1 (Rev. 12/2019)

Northcentral University - Board & Key Employees

Title	Name	Address	Phone	Salary (if any)
Chair of the Board	Erlinda Martinez	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Treasurer of the Board	Richard Chisholm	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Secretary of the Board	Thomas Topuzes	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Vice Chair of the Board	Tom Clevinger	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Board Member or Director	Jeanne Connelly	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
President	David Harpool	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 424500
Chief Financial Officer	Jamie Nickel	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 148500
Chancellor and Ex Officio Board Member	Michael Cunningham	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Board Member or Director	Stacy Allison	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Board Member or Director	Kim Folsom	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Board Member or Director	Harold Greenberg	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Board Member or Director	Ruthann Heinrich	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Board Member or Director	W.H. Knight, Jr.	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Board Member or Director	Michael McGill	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Board Member or Director	Tom Page	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0
Board Member or Director	Joanne Pastula	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866- 766- 0331	\$ 0

Board Member or Director	Dan Pittard	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866-766-0331	\$ 0
Board Member or Director	E. Lee Rice	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866-766-0331	\$ 0
Board Member or Director	Carlos Rodriguez	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866-766-0331	\$ 0
Chief Financial Officer, NUS	Dave C. Lawrence	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866-766-0331	\$ 0
Assistant Secretary	Michelle Bello	11355 N. Torrey Pines Road Ste.203, La Jolla, CA, 92037	866-766-0331	\$ 0



Secretary of State Certificate of Status

I, SHIRLEY N. WEBER, Ph.D., Secretary of State of the State of California, hereby certify:

Entity Name: NORTHCENTRAL UNIVERSITY
File Number: C2648702
Registration Date: 04/22/2004
Entity Type: DOMESTIC NONPROFIT CORPORATION
Jurisdiction: CALIFORNIA
Status: ACTIVE (GOOD STANDING)

As of February 3, 2021 (Certification Date), the entity is authorized to exercise all of its powers, rights and privileges in California.

This certificate relates to the status of the entity on the Secretary of State's records as of the Certification Date and does not reflect documents that are pending review or other events that may affect status.

No information is available from this office regarding the financial condition, status of licenses, if any, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate
and affix the Great Seal of the State of California
this day of February 4, 2021.

A handwritten signature in black ink, appearing to read "S. N. Weber".

SHIRLEY N. WEBER, Ph.D.
Secretary of State

Certificate Verification Number: ZVQ7MAY

To verify the issuance of this Certificate, use the Certificate Verification Number above with the Secretary of State Certification Verification Search available at bebizfile.sos.ca.gov/certification/index.



Rhode Island Office of the
Postsecondary Commissioner

Shannon W. Gilkey, EdD, Commissioner

September 17, 2021

Dr. John LaNear
Provost
Northcentral University
11355 North Torrey Pines Road
LaJolla, CA 92037

Dear Dr. LaNear,

It is my pleasure to inform you that on September 15, 2021, the Council on Postsecondary Education (CPE) approved Northcentral University's application to participate as a Non-SARA (State Authorization Reciprocity Agreement) institution in Rhode Island.

As a Non-SARA approved institution offering distance learning to Rhode Island residents, the Office of the Postsecondary Commissioner (OPC) expects National University to uphold its commitment to high standards in quality online education and student protection, as affirmed in the application and *Interregional Guidelines for the Evaluation of Distance Education (C-RAC)*.

National University's approval expires on September 14, 2022. Renewal applications should be submitted 30 days prior to expiration. Please see <https://www.riopc.edu/page/RI-SARA/> for information about the renewal process and appropriate forms.

We're excited about this partnership between Northcentral University and the OPC. Rhode Island has a commitment to help ensure that on-line distance learning provided by our approved institutions represents the highest quality.

Please bring any needs, questions or concerns about Non-SARA operations in Rhode Island to my attention (Deanna.vellettri@riopc.edu).

Thank you for being a partner with us in this great work.

Sincerely,

A handwritten signature in cursive script, reading "Deanna Velletri".

Deanna Velletri
Specialist, Proprietary Schools and Special Academic Projects
NC-SARA State Portal Entity

cc: Tammy Vargas Warner, Ph.D., Assistant Commissioner
Debbera Alvarez, Compliance & Quality Analyst
Nicole Vanegas, Regulatory Affairs Manager



State of Rhode Island
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island,
hereby certify that this document, duly executed in accordance with the provisions
of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this
office on this day:

October 01, 2021 03:45 PM

A handwritten signature in blue ink that reads "Nellie M. Gorbea".

Nellie M. Gorbea
Secretary of State

