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State of Rhode Island Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2022

1. Corporate ID No. <u>000023909</u>

2. Name of Corporation Charles Schwab & Co., Inc.

3. Street Address Principal Business Office:

No. and Street: <u>211 MAIN STREET</u>

City or Town: SAN FRANCISCO State: CA Zip: 94105 Country: USA

4. Business Phone No.

5. State of Incorporation

State: CA

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER-DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
PRESIDENT	RICHARD A. WURSTER	211 MAIN STREET SAN FRANCISCO, CA 94105 USA	
SECRETARY	SUSAN L. STAPLETON	211 MAIN STREET	

		SAN FRANCISCO, CA 94105 USA
DIRECTOR	JOSEPH R. MARTINETTO 211 MAIN STREET SAN FRANCISCO, CA 94105 USA	
DIRECTOR	PETER B. CRAWFORD	211 MAIN STREET SAN FRANCISCO, CA 94105 USA
DIRECTOR	RICHARD A. WURSTER	211 MAIN STREET SAN FRANCISCO, CA 94105 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
PWP		\$0.0100	3,000,000.00	0
CWP		\$0.0100	7,000,000.00	2823000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 27 Day of April, 2022 at 10:45:14 AM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By **KELLY LETTMANN**

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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