State of Rhode Island Fee: \$50.00
Office of the Secretary of State
Division Of Business Services 148 W. River Street
Providence RI 02904-2615
(401) 222-3040
Limited Liability Company
Annual Report Filing Period: February 1 - May 1
In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing
to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7- 16-66(b&c)) is subject to a penalty fee of \$25.00.
ANNUAL REPORT YEAR: 2022
1. ID No. <u>000792537</u>
2. Exact Name of the Limited Liability Company <u>TD PRIVATE CLIENT WEALTH LLC</u>
3. State of Formation
State: <u>DE</u>
ARTICLE III
Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes here. More information on NAICS can be found online.
<u>523120</u>
4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island
SECURITY BROKERAGE AND INVESTMENT ADVISORY FIRM
5. Principal Office Address
No. and Street:444 MADISON AVENUE, 11TH FLOORCity or Town:NEW YORKState:NYZip:10022Country:USA
6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:
Contact Name: Contact Title:
No. and Street:444 MADISON AVENUE, 11TH FLOORCity or Town:NEW YORKState:NYZip:10022Country:USA
7. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER Changes Require Filing of Form 642 - R.I.G.L. 7-16-11
CORPORATION SERVICE COMPANY 222 JEFFERSON BOULEVARD, SUITE 200 WARWICK, RI 02888
8. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

II.

**Signed this 29 Day of April, 2022 at 9:12:35 AM by the authorized person.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.* 

By <u>LYDIA C. BOOSE</u> Signature of Authorized Person

Form No. 632 Revised 09/07

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