



State of Rhode Island
Department of State - Business Services Division

Certificate of Authority
 FOREIGN Non-Profit Corporation

→ Filing Fee: \$50.00

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 BUS SVCS DIV
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Pursuant to the provisions of RIGL 7-6-74, the undersigned foreign non-profit corporation hereby applies for a Certificate of Authority to conduct affairs in the State of Rhode Island, and for that purpose submits the following statement:

| | | |
|---|--------------|----------|
| 1. The name of the corporation is: | | |
| ASCENDUS, INC. | | |
| 1a. The name, if different, which it elects to use in Rhode Island is: | | |
| *If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application. | | |
| 2. It is incorporated under the laws of: | | |
| New York | | |
| 3. The date of its incorporation is: | | |
| 01/30/1996 | | |
| And the period of its duration is: CHECK ONLY ONE BOX | | |
| <input checked="" type="checkbox"/> Perpetual (on-going) | | |
| <input type="checkbox"/> Date certain for dissolution _____ | | |
| 4. The address of its principal place of business is: | | |
| 80 Maiden Lane, Suite 903, New York, NY 10038 | | |
| 5. The name and address of the initial registered agent/office in Rhode Island is | | |
| Agent Name Registered Agent Solutions, Inc. | | |
| Street Address (NOT a P.O. Box) 222 Jefferson Blvd., Suite 200 | | |
| City/Town | State | Zip Code |
| Warwick | RHODE ISLAND | 02888 |

MAIL TO:
 Division of Business Services
 148 W. River Street, Providence, Rhode Island 02904-2615
 Phone: (401) 222-3040
 Website: www.sos.ri.gov

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6. The purpose or purposes which it proposes to pursue in the conducting its affairs in Rhode Island:
 Non-profit microlending institution

Check the box to indicate an attachment

7. The names and respective addresses of its directors and officers are:

| OFFICE | NAME | ADDRESS |
|----------------|--------------------------------|---|
| Director | Stephen Cassell | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Director | Colleen Galvin - Vice Chairman | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Director | Daniel Delehanty | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| President | Paul Quintero | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Vice President | | |
| Treasurer | | |
| Secretary | | |

Check the box to indicate an attachment

8. This application must be accompanied by a Certificate of Good Standing/Letter of Status from the state or country of formation dated within 60 days of the date of this filing.

Under penalty of perjury, we declare and affirm that we have examined this Application for Certificate of Authority, including and accompanying attachments, and that all statements contained herein are true and correct.

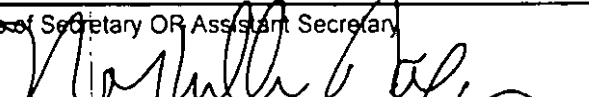
Type or Print Name of President OR Vice President
 Paul Quintero

Date
6/1/22

Signature of President OR Vice President


Type of Print Name of Secretary OR Assistant Secretary
 Roshelle Nagar

Date

Signature of Secretary OR Assistant Secretary


**OFFICERS & DIRECTORS
FOR
ASCENDUS, INC.**

List of Officers:

| Name | Title | Address |
|---------------|--------------|---|
| Paul Quintero | CEO | 80 Maiden Lane, Suite 903 New York, NY 10038 |
| Ayaz Khan | CFO | 80 Maiden Lane, Suite 903 New York, NY 10038 |

List of Directors:

| Name | Address |
|--------------------------------|---|
| Stephen Cassell | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Daniel Delehanty | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Colleen Galvin – Vice Chairman | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Amy Hellen | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Joseph Hernandez | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Zara Ingilizian | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Sudhir Jain | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Kimberly Johnson | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Laura Miller - Chairman | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Roshelle Nagar | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Perri Richman | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Lisa Servon | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| JJ Singh | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Tyler Van Gundy | 80 Maiden Lane, Suite 903, New York, NY 10038 |
| Jerry Weiss | 80 Maiden Lane, Suite 903, New York, NY 10038 |

STATE OF NEW YORK

DEPARTMENT OF STATE

Certificate of Status

I, ROBERT J. RODRIGUEZ, Secretary of State of the State of New York and custodian of the records required by law to be filed in my office, do hereby certify that upon a diligent examination of the records of the Department of State, as of the date and time of this certificate, the following entity information is reflected:

Entity Name: ASCENDUS, INC.
DOS ID Number: 1995077
Entity Type: DOMESTIC NOT-FOR-PROFIT CORPORATION
Entity Status: EXISTING
Date of Initial Filing with DOS: 01/30/1996

No information is available from this office regarding the financial condition, business activity or practices of this entity.

WITNESS my hand and official seal of the Department of State,
at the City of Albany, on June 17, 2022 at 11:28 A.M.

ROBERT J. RODRIGUEZ, Secretary of State



Brendan C. Hughes

By Brendan C. Hughes
Executive Deputy Secretary of State

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Division of Corporation's Document Authentication Website at <http://ccorp.dos.ny.gov>



State of Rhode Island
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island,
hereby certify that this document, duly executed in accordance with the provisions
of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this
office on this day:

August 05, 2022 12:05 PM

A handwritten signature in blue ink that reads "Nellie M. Gorbea".

Nellie M. Gorbea
Secretary of State

