

# UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional] <b>Kenneth F. McGunagle, Jr., Esq. (401) 941.7500</b>	
B. SEND ACKNOWLEDGMENT TO: (Name and Address)  <b>McGunagle Hentz, PC Attn: Kenneth F. McGunagle, Jr., Esq. 2088 Broad Street Cranston, RI 02905-3342</b>	

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only <u>one</u> debtor name (1a or 1b) - do not abbreviate or combine names				
1a. ORGANIZATION'S NAME <b>The Oak Company, LLC</b>				
OR	1b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME SUFFIX
1c. MAILING ADDRESS <b>480 Hope Street</b>		CITY <b>Providence</b>	STATE <b>RI</b>	POSTAL CODE COUNTRY <b>02906 USA</b>
1d. SEE INSTRUCTIONS	ADD'L INFO RE ORGANIZATION DEBTOR	1e. TYPE OF ORGANIZATION <b>LLC</b>	1f. JURISDICTION OF ORGANIZATION <b>Rhode Island</b>	1g. ORGANIZATIONAL ID #, if any <b>000794183</b> <input type="checkbox"/> NONE
2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only <u>one</u> debtor name (2a or 2b) - do not abbreviate or combine names				
2a. ORGANIZATION'S NAME				
OR	2b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME SUFFIX
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE COUNTRY
2d. SEE INSTRUCTIONS	ADD'L INFO RE ORGANIZATION DEBTOR	2e. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE
3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only <u>one</u> secured party name (3a or 3b)				
3a. ORGANIZATION'S NAME <b>NAVIGANT CREDIT UNION</b>				
OR	3b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME SUFFIX
3c. MAILING ADDRESS <b>1005 Douglas Pike</b>		CITY <b>Smithfield</b>	STATE <b>RI</b>	POSTAL CODE COUNTRY <b>02917 USA</b>

4. This FINANCING STATEMENT covers the following collateral:

All assets of the Debtor of every kind and nature including without limitation all of the present and future right, title and interest of the Debtor in and to the following assets, as may be defined in the Uniform Commercial Code as now or hereafter adopted in the State of Rhode Island (the "UCC"), wherever located and whether now owned or hereafter acquired or arising and all products and proceeds thereof: all goods (including without limitation inventory, machinery, equipment and fixtures and any accessions and additions thereto and any substitutions or replacements therefor), instruments (including without limitation promissory notes), documents, healthcare and insurance receivables, accounts and accounts receivable, consignments, chattel paper (whether tangible or electronic), deposit accounts, letter-of-credit rights (whether or not evidenced by a writing), commercial tort claims, copyrights and copyright licenses, patents and patent licenses, trademarks and trademark licenses, securities and investment property, general intangibles (including without limitation payment intangibles and software), supporting obligations, contract rights, rights to the payment of money, and insurance claims and proceeds. See UCC Addendum for additional collateral description.

5. ALTERNATIVE DESIGNATION (if applicable)	LESSEE/LESSOR	CONSIGNEE/CONSIGNOR	BAILEE/BAILOR	SELLER/BUYER	AG. LIEN	NON-UCC FILING
6. This FINANCING STATEMENT is to be filed (for record) (or recorded) in the REAL ESTATE RECORDS. Attach Addendum (if applicable)	7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) (optional)		All Debtors Debtor 1 Debtor 2			

Rhode Island Secretary of State

## UCC FINANCING STATEMENT ADDENDUM

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

### 9. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT

9a. ORGANIZATION'S NAME			
OR	<b>The Oak Company, LLC</b>		
9b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME, SUFFIX	

### 10. MISCELLANEOUS:

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### 11. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (11a or 11b) - do not abbreviate or combine names

11a. ORGANIZATION'S NAME				
OR				
11b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
11c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY
11d. <b>SEE INSTRUCTIONS</b>	ADD'L INFO RE ORGANIZATION DEBTOR	11e. TYPE OF ORGANIZATION	11f. JURISDICTION OF ORGANIZATION	11g. ORGANIZATIONAL ID #, if any
				<input type="checkbox"/> NONE

### 12. ☐ ADDITIONAL SECURED PARTY'S ☐ or ☐ ASSIGNOR S/P'S NAME - insert only one name (12a or 12b)

12a. ORGANIZATION'S NAME				
OR				
12b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
12c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

### 13. This FINANCING STATEMENT covers ☐ timber to be cut or ☐ as-extracted

collateral, or is filed as a ☒ fixture filing.

### 14. Description of real estate:

### 15. Name and address of a RECORD OWNER of above-described real estate (if Debtor does not have a record interest):

### 16. Additional collateral description:

**This financing statement covers and is intended to cover all assets of the Debtor, now existing or hereafter acquired and wherever located. It is the intention of the Debtor and Secured Party that the description of the Collateral set forth herein be construed to include the broadest possible range of property and assets and all tangible and intangible personal property and fixtures of the Debtor of every kind and description pursuant to the UCC or applicable law, as may be amended from time to time.**

**See Exhibit A attached hereto and incorporated herein by reference.**

### 17. Check only if applicable and check only one box.

Debtor is a ☐ Trust or ☐ Trustee acting with respect to property held in trust or ☐ Decedent's Estate

### 18. Check only if applicable and check only one box.

- ☐ Debtor is a TRANSMITTING UTILITY  
☐ Filed in connection with a Manufactured-Home Transaction — effective 30 years  
☐ Filed in connection with a Public-Finance Transaction — effective 30 years

## **EXHIBIT A**

**ALL THAT CERTAIN LOT**, or parcel of land, with the buildings and improvements thereon, located in the City and County of Providence, State of Rhode Island, being bounded and described as follows:

**BEGINNING** at the southwesterly corner of Hope and Pleasant Street; thence running southerly bounding easterly on Hope Street eighty-four and 11/100 (84.11) feet to a way delineated on the hereinafter-referenced plat of land and known as Observatory Avenue, so-called; thence westerly at an interior angle of 108° with the last-described line bounding southerly on said way seventy-one and 43/100 (71.43) feet to land now or formerly of Home Owners Loan Corporation; thence northerly at an interior angle of 90° with the last-described line bounding westerly on said last-named land eighty (80) feet to Pleasant Street; thence easterly at an interior angle of 90° with the last-described line, bounding northerly on Pleasant Street ninety-seven and 42/100 (97.42) feet to the point of beginning.

**SAID TRACT** comprises the whole of Lot No. 6 (six) and Lot No. 7 (seven) on that certain plat of land entitled: "Plat of Land on East Avenue belonging to Jeffrey Hazard Drawn Feb. 1872 by C.E. Paine", which said plat is recorded in the Providence Land Evidence Records in Plat Book 18 at Page 33 and (copy) on Plat Card 597.

**EXCEPTING THEREFROM** the portion of said lot No. 6 (six) of said plat heretofore taken for the widening and relocation of Hope Street (formerly East Avenue).

**BE ALL SAID MEASUREMENTS**, more or less, or however otherwise the same may be bounded and described.

**BEING** designated as Lot 130 on Tax Assessor's Plat 8 of the City of Providence, as presently constituted, for reference purposes only.