RI SOS Filing Number: 202126030930 Date: 12/13/2021 9:19:00 AM

UCC-1 Form

FILER INFORMATION

Full name: CORPORATION SERVICE COMPANY

Email Contact at Filer: RISOSUCCFILINGSV3@cscglobal.com

SEND ACKNOWLEDGEMENT TO

Contact name: Corporation Service Company

Mailing Address: 801 Adlai Stevenson Drive

City, State Zip Country: Springfield, IL 62703 USA

DEBTOR INFORMATION

Org. Name: WEST INDIES MANAGEMENT COMPANY, INC.

Mailing Address: 28 Pelham Street

City, State Zip Country: NEWPORT, RI 02840 USA

SECURED PARTY INFORMATION

Org. Name: GLADSTONE BUSINESS INVESTMENT, LLC

Mailing Address: 1521 WESTBRANCH DRIVE, SUITE 100

City, State Zip Country: McLean, VA 22102 USA

TRANSACTION TYPE: STANDARD

CUSTOMER REFERENCE: :200729-00069 2231 05873

COLLATERAL

ALL ASSETS.